

## **2020 CAPER – submitted to HUD 5.28.2021**

### **CR-05 - Goals and Outcomes**

#### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The Consolidated Annual Performance and Evaluation Report (CAPER) details the status and accomplishments of the City of Rockford's activities under the Community Planning and Development (CPD) Programs. This includes the Community Development Block Grant (CDBG) and Home Investment Partnerships Program (HOME) federal dollars from the Department of Housing and Urban Development (HUD). This report covers the period covering January 1, 2020 to December 31, 2020 – the first year of the City's 2020-2024 Consolidated Plan. The CAPER also reports on accomplishments that occurred in the program year through other local, state, and federal initiatives, including progress on the Section 108 Loan Guarantee program, as well as Emergency Solution Grant (ESG), and Continuum of Care (CoC) homeless activities. These accomplishments were coordinated through the City of Rockford's Community and Economic Development Department, Rockford's Human Services Department, and collaboration with other governmental agencies and private organizations.

In Rockford's 2020-2024 Consolidated Plan, the City of Rockford identified six priorities: Housing, Homeless, Other Special Needs, Community Development, Economic Development and Administration/Planning/Management. All of these strategies are identified as a high priority, except for Other Special Needs, which is considered a low priority. All funded activities served primarily low/moderate income persons by supporting housing needs, providing needed services, improving the quality of life for individuals and households in Rockford, and continuing address the needs of underserved populations.

As in past years under the Plan, there continued to be an emphasis on the health of the City through the implementation of programs that address housing and neighborhoods. As a result, the City continued to focus on: housing rehabilitation, homeownership opportunities, and the demolition of blighted properties. In addition, the City received additional funding through the CARES Act to prevent, prepare for and respond to coronavirus. These funds were primarily focused on assisting microenterprise businesses who were impacted by the pandemic (CDBG-CV) and, providing rental assistance (ESG-CV).

HOME-funded housing rehabilitation activities were made available to low (at or below 80% area median income) income households living anywhere within the City limits and also the City offered a homebuyer program for properties located throughout the City of Rockford. A CDBG-funded housing rehabilitation program was offered to homeowners to address specific code violations, as well as to address roofs and related items. In addition, the City continued to demolish dilapidated buildings and implement tactics identified under a report delivered by the Center

for Community Progress.

During the 2020 Program Year, the City of Rockford made strides towards goals outlined in the 2020-2024 Consolidated Plan and 2020 Action Plan.

#### CDBG and HOME:

Funds have been used to address priorities and needs identified in the Consolidated Plan. Accomplishments of goals are addressed in the Progress Assessment section that follows.

- 2020 CDBG funds expended in 2020, including PI, totaled \$291,198. The total of all CDBG expenditures (includes SL and PI), regardless of funding year, was \$4,297,085.
- 2020 HOME funds expended in 2020 totaled \$0. The total of all HOME expenditures, regardless of funding year, was \$351,474.

#### CDBG & CDBG CV Activities:

During the 2020 program year, CDBG activities included the following:

- 49 buildings were demolished, for a total of 60 demolished units
- 30 homes were rehabilitated.
- 55 Economic Development agreements were executed, including 51 microenterprise businesses assisted with CDBG-CV funds.

#### HOME Activities:

In 2020, 13 housing activities were completed. This consisted of 2 homebuyer activities and 11 homeowner rehabilitation activities.

#### ESG & ESG-CV Activities:

The City of Rockford continues to address homelessness through their partnership with local homeless agencies and the Community Solutions Built for Zero initiative. The target for 2020 was to reduce homelessness for unaccompanied youth aged 16-24 utilizing ESG, Continuum of Care (CoC) and other federal and State funding, as well as increase prevention activities to divert people from entering homelessness. The 2020 system performance measures demonstrate that the number of persons entering homelessness has been reduced by about 25% over the previous year. Continued efforts reduce youth homelessness, providing housing to 91 unaccompanied youth in 2020. Overall, using ESG and other resources, 536 homeless persons were housed in the last year.

In addition, the City received funding through ESG-CV (both federal and state pass through funds) to prevent, prepare for and respond to

coronavirus. These funds were focused on assisting families/individuals to stay housed with the Homeless Prevention CV funds. With these funds, as of December 31, 2020, 61 households (140 individuals) were assisted. In addition, funds have also been spent to Rapidly-Re-house many people who have been on the streets or in shelters. In total, 207 households (408 individuals) have been housed using the tenant based rental assistance and funds for housing relocation and stabilization. Of those 207 households, 59 were assisted out of our regular entitlement ESG, 120 were assisted in the federal ESG-CV round 1, 14 were assisted under the traditional State ESG and the other 14 were assisted under State ESG-CV round 1. Street outreach funds have been used to ensure that services are reaching those that are on the street and in literal homeless situations. All of this info is recorded in the HMIS system which has also been funded through ESG-CV. Use of these funds has allowed the City of Rockford to continue reducing our homeless numbers and allowed staff to work with the homeless to ensure they were staying safe from COVID-19 virus by placing them into housing of their own versus congregate living situations.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
AMS-1 Overall Coordination	Administration, Planning, and Management	CDBG: \$1,886,305 HOME: \$491,275 ESG: \$68,315	Other	Other	15	3	20.00%	3	3	100.00%
CDS-6 Clearance/Demolition	Non-Housing Community Development	CDBG: \$2,067,705	Buildings Demolished	Buildings	175	49	28.00%	35	49	140.00%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
EDS-1 Employment	Economic Development	CDBG: \$977,855	Businesses assisted	Businesses Assisted	15	0	0.00%			
EDS-2 Development	Economic Development	CDBG: \$415,990	Jobs created/retained	Jobs	0	4				
			Businesses assisted	Businesses Assisted	15	51	340.00%	3	51	1,700.00%
			Other	Other	0	1		1	1	100.00%
HMS-1 Housing	Homeless	ESG: \$340,000	Other	Other	5	1	20.00%	1	1	100.00%
HMS-2 Operation/Support	Homeless	ESG: \$225,000	Other	Other	10	2	20.00%	2	2	100.00%
HMS-3 Prevention and Re-Housing	Homeless	ESG: \$289,890	Other	Other	5	1	20.00%	1	1	100.00%
HS-1 Housing Development	Affordable Housing	HOME: \$1,283,890	Homeowner Housing Added	Household Housing Unit	5	0	0.00%	1	0	0.00%
			Other	Other	5	0	0.00%	1	0	0.00%
HS-2 Housing Rehabilitation	Affordable Housing	CDBG: \$3,450,000 HOME: \$2,754,420	Homeowner Housing Rehabilitated	Household Housing Unit	275	41	14.91%	49	41	83.67%



Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
HS-3 Homeownership	Affordable Housing	HOME: \$383,175	Direct Financial Assistance to Homebuyers	Households Assisted	25	3	12.00%	5	3	60.00%
HS-4 Fair Housing	Affordable Housing	CDBG: \$10,000	Other	Other	5	0	0.00%	1	0	0.00%
HS-5 Project Delivery	Affordable Housing	CDBG: \$2,011,740	Homeowner Housing Added	Household Housing Unit	5	0	0.00%	1	0	0.00%
			Homeowner Housing Rehabilitated	Household Housing Unit	110	11	10.00%	16	11	68.75%
			Direct Financial Assistance to Homebuyers	Households Assisted	25	3	12.00%	5	3	60.00%
			Other	Other	5	0	0.00%	1	0	0.00%

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

Please note that although the City’s program year is January – December 2020, grant agreements were executed in August 2020. Most of the activities that were initiated or completed in calendar year 2020 were funded with prior program year money. In addition, COVID impacted the City’s ability to administer programs, due to public health requirements of social distancing, as well as a re-prioritization of efforts to manage

and administer CARES Act funding through CDBG and ESG.

2019 CDBG administrative and project delivery funds, as well as 2019/2020 HOME Administrative funds remaining at the end of the 2019 fiscal year were carried over to 2020 to cover staff costs until 2020 funds were received. The City met the 20% administrative caps. See the PR26 report included as part of this CAPER.

2020 was the first year of Rockford's 2020-2024 Consolidated Plan, which identified six priorities: Housing, Homeless, Other Special Needs, Community Development, Economic Development, and Administration/Planning/Management strategies. The Consolidated Planning process allows for these needs to either be categorized as High or Low. Based on community input during the Consolidated Plan process, as well as prioritization of limited resources, the needs of Housing, Homeless, Community Development, Economic Development, and Administration/Planning Management were identified as High Priority. It is expected that the City will fund these high priority needs during the five year plan. Other Special Needs strategy was assigned as a low priority need. These types of activities may not be funded during the Consolidated Plan, but the City may support applications or Certificates of Consistency for other funding if the activities are consistent with identified needs. In 2020, no funds were allocated or expended for Other Special Needs.

The Housing, Community Development, Economic Development and Administration/Planning Management priorities were all supported in 2020 with CDBG funds. CDBG programs/projects included: housing rehabilitation, fair housing, project delivery, demolitions economic development, and CDBG Administration. In addition, the unanticipated CDBG-CV CARES Act funding supported additional economic development activities, which resulted in higher than anticipated accomplishments for the EDS-2 Economic Development goal. 2020 ESG and ESG-CV funds support housing for the homeless, homeless operations/support and homeless prevention and re-housing. ESG funds were also budgeted in 2020 to support administrative expenses. 2020 HOME funds supported the Housing priority through housing development, housing rehabilitation, and homeownership assistance programs. HOME funds were also budgeted to support Administrative expenses associated with the HOME program management.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

	CDBG	HOME	ESG
White	27	7	22
Black or African American	16	7	93
Asian	0	0	0
American Indian or American Native	1	0	4
Native Hawaiian or Other Pacific Islander	0	0	0
<b>Total</b>	<b>44</b>	<b>14</b>	<b>119</b>
Hispanic	2	0	12
Not Hispanic	42	14	107

Table 2 – Table of assistance to racial and ethnic populations by source of funds

### Narrative

The City utilizes CDBG dollars for project delivery of HOME and CDBG funded rehabilitation activities. Therefore, HOME funded rehabilitation activities are counted once under each program, (HOME and CDBG). One homebuyer activity included in the chart above was an activity that was reopened during the year but was counted in a prior year. Therefore, the actual total number of families assisted with HOME and CDBG funds was 43.

67% of the 30 households funded through CDBG rehabilitation activities were white, 30% were Black/African American, and 3% were American Indian/Alaskan. 7% of the 30 households were Hispanic or Latino. According to the 2019 American Community Survey 1-Year Estimate provided by the U.S. Census Bureau, 28.8% of Rockford residents are minority. 40% of households assisted in 2020 were minorities.

60% of the 13 households funded through HOME rehabilitation activities were Black/African American, and 40% were White. According to the 2019 American Community Survey 1-Year Estimate provided by the U.S. Census Bureau, 28.8% of Rockford residents are minority. 54% of the households assisted in 2020 were minorities.

Counts for ESG in Table 2 above is by person, not families. Of the 119 persons assisted, 18% were White, 78% were Black or African American, and 3% were American Indian or American Native. Of the 119 persons, 10% were Hispanic.

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	2,179,526	4,297,085
HOME	public - federal	987,810	351,475
ESG	public - federal	184,641	166,969

**Table 3 - Resources Made Available**

### Narrative

In Table 3, the “Resources Made Available” is the amount of the 2020 award, including budgeted program income. The amount does not include any prior year grants with funding available during 2020. The “Amount Expended During the Program Year” includes all expenditures regardless of the funding year. As mentioned previously, the City did not receive its 2020 funding until August 2020. Please see section CR-05 for a summary of accomplishments and challenges.

Additional resources made available during 2020 included CARES Act funding. The City was awarded \$1,277,632 in round 1 CDBG-CV and \$1,001,397 in round 3 CDBG-CV funds for a total award amount of \$2,279,029. The City was also awarded \$636,693.00 in round 1 ESG-CV funding, with an additional funding award of \$1,157,575. These additional rounds of CV funding are anticipated to be available in early 2021. The total amount of CARES Act ESG funding expended during the 2020 Program Year was \$598,477.

Rockford is eligible to apply for Section 108 Loan Guarantee funds up to five times its current approved CDBG entitlement allocation. These funds are available to any organization who submits an eligible project that is underwritten, meets the Section 108 loan requirements, and is ultimately approved by City Council. There were no new Section 108 projects in 2020.

**Identify the geographic distribution and location of investments**

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
CDBG Eligible Areas	0	80	Census Tracts with 51% or more low income households
City Wide	100	20	Non-targeted for Low-Mod Individual Benefit and Administration

**Table 4 – Identify the geographic distribution and location of investments****Narrative**

In Table 4, the “Actual Percentage of Allocation” is the percentage of all 2020 CDBG and HOME expenditures, regardless of the funding year, associated with actual projects and/or activities (e.g., demolition, housing rehabilitation project, etc.). It does not include staff, overhead or project delivery.

The City’s CDBG expenditures in 2020 was \$4,297,085, and \$3,434,114.04 was expended on projects/activities located within the CDBG Eligible Area. (Please note that approximately \$2.7 million of the total 2020 CDBG expenditures was for a Section 108 loan.) 80% of the City’s 2020 CDBG expenditures were located within CDBG eligible areas. \$83,701.93 was expended in the City’s NRSA, which is 2% of the total CDBG expenditures. The NRSA was not approved until mid-2020, so it is anticipated that the percentage of activities in the NRSA will increase over the next four years.

In 2020, the City received \$5,513.86 in CDBG program income, which was expended on CDBG eligible activities.

The City received \$375,517.06 in Section 108 program income in 2020. These restricted dollars are not eligible to utilize for new projects until the Section 108 obligation has been met.

The City received \$7,742.50 in HOME program income. See Table 7.

## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

### CDBG

The City administers a demolition program, which utilizes various funding sources including CDBG, the City's General Fund, and Illinois Housing Development Authority (IHDA) grants. The City has applied for and received four rounds of grant funding through the IHDA Abandoned Property Program (APP). In 2019, the City received \$73,000 through round 4 of the APP grant, which was fully expended in 2020. This grant was used to demolish 7 residential structures. In 2020, the City applied for and was awarded \$250,000 through IHDA's Strong Communities Program. This program will assist with demolition expenses for residential structures located in the City's NRSA. The City anticipates that 20 blighted properties can be demolished with this grant over the course of two years. In addition, the City had an \$110,000 demolition budget from the General Fund to assist with demolitions as well.

Illinois State Statute allows the City to use a "fast track" process to demolish properties, which does not require City ownership. Once the demolition is complete, a lien is recorded on the property for the total cost, plus an administration fee. These liens are rarely paid off, but if the lien is paid off for a CDBG-funded demolition, the funds are receipted as CDBG program income. The City's Legal Department may foreclose upon a lien in limited cases, but usually with a re-use in mind. No real property was acquired using CDBG funds in 2020 by foreclosure or voluntary sale.

Forgivable loans funded with CDBG for microenterprise development or for Special Economic Development Activities include a match requirement of at least 50%. In addition, the City actively promotes partnering financing programs, including the City's revolving loan fund administered by the Rockford Local Development Corporation, the Northern Illinois Community Development Corporation, and Advantage Illinois.

The City was awarded \$640,000 in US Economic Development Administration Revolving loan funds for COVID-19 relief. In addition, the City was also awarded \$900,000 through the Illinois Department of Commerce & Economic Opportunity (DCEO). The City has expended \$875,573 of this grant. The purpose of the grant is to assist in establishing the Rockford Restaurant and Bar Stabilization Fund, and to distribute sub awards/grants to restaurants and bars, based on merit criteria, for losses (versus the comparable time frame for the baseline year of 2019) of average monthly revenue between April 1 and August 31, 2020.

No publicly owned land or properties were identified to address needs in it's 2020 Annual Action Plan, nor was CDBG used to acquire any properties in 2020.

## HOME

The summary of completed HOME activities, \$442,136.04 was expended on the 13 HOME activities completed by 2020 year end, leveraging \$165,121.50 of private funds. This 37% of leverage was generated through private first mortgage lender financing, other downpayment assistance (such as IHDA and Federal Home Loan Bank of Chicago), closing credits, and owner contributions.

HOME leverage tends to fluctuate from year to year. Although leverage decreased from 2019, leverage for completed activities is anticipated to increase in 2021 for several reasons:

1. The City's homebuyer program generates leverage with every household assisted. In 2021, the City intends to seek additional participating lenders, promote workshops and/or distribute program information via social media outlets more often.
2. Generally a significant amount of leverage is not generated by the City's housing rehabilitation program unless the City received a grant to supplement the City's rehab program or if the City executes agreements at the same time as another housing partner (such as NW HomeStart, the Winnebago County Health Department or another City department). The City received an Illinois Housing Development Authority Single Family Rehab – Roof Only Grant in July 2020. Besides supplementing the City's housing rehabilitation programs, the grant allowed the City to purchase a software system for managing housing programs from intake through reporting outcomes and compliance monitoring. City staff will begin accepting applications early 2021, once the Neighborly Software system is fully established. The City plans to continue collaborating with housing partners in 2021 as well.
3. Larger rental developments and/or single-family housing developments through Community Housing Development Organizations (CHDOs) provide leverage. The City will continue to assist housing non-profits who are interesting in using or applying for CHDO Reserves.

No HOME match was contributed in 2020, and the 2020 HOME Match liability was \$0.

ESG:

The City utilizes the Community Services Block Grant to leverage match for the ESG grant as well as support of the CoC Planning



Grant. In addition, the Community Services Block Grant supports the Coordinated Entry System for the homeless by paying for staff time to operate the single point of entry.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	1,078,723
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	1,078,723
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	1,078,723

**Table 5 – Fiscal Year Summary - HOME Match Report**

<b>Match Contribution for the Federal Fiscal Year</b>								
<b>Project No. or Other ID</b>	<b>Date of Contribution</b>	<b>Cash (non-Federal sources)</b>	<b>Foregone Taxes, Fees, Charges</b>	<b>Appraised Land/Real Property</b>	<b>Required Infrastructure</b>	<b>Site Preparation, Construction Materials, Donated labor</b>	<b>Bond Financing</b>	<b>Total Match</b>
NA	0	0	0	0	0	0	0	0

**Table 6 – Match Contribution for the Federal Fiscal Year**

## HOME MBE/WBE report

<b>Program Income</b> – Enter the program amounts for the reporting period				
<b>Balance on hand at begin-ning of reporting period</b> \$	<b>Amount received during reporting period</b> \$	<b>Total amount expended during reporting period</b> \$	<b>Amount expended for TBRA</b> \$	<b>Balance on hand at end of reporting period</b> \$
13,766	7,743	8,326	0	13,183

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	588,254	0	0	331,910	0	256,344
Number	20	0	0	11	0	9
Sub-Contracts						
Number	2	0	0	0	0	2
Dollar Amount	29,508	0	0	0	0	29,508
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	588,254	186,441	401,813			
Number	20	5	15			
Sub-Contracts						
Number	2	0	2			
Dollar Amount	29,508	0	29,508			

Table 8 - Minority Business and Women Business Enterprises

**Minority Owners of Rental Property** – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted

	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 9 – Minority Owners of Rental Property**

**Relocation and Real Property Acquisition** – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0	
Businesses Displaced		0	0	
Nonprofit Organizations Displaced		0	0	
Households Temporarily Relocated, not Displaced		0	0	

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	22	14
Number of Special-Needs households to be provided affordable housing units	0	0
<b>Total</b>	<b>22</b>	<b>14</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	1	0
Number of households supported through Rehab of Existing Units	16	11
Number of households supported through Acquisition of Existing Units	5	3
<b>Total</b>	<b>22</b>	<b>14</b>

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

One homebuyer activity included in the chart above was an activity that was reopened during the year but was counted in a prior year. Therefore, the actual total number of families assisted with HOME funds was 13. Four (31%) of the households were very low income (50% AMI) and nine (69%) were Low Income (80% AMI).

**CDBG:** According to the 2020 Annual Action Plan, the goals were as follows: Housing rehabilitation assistance for owners to address properties occupied by owners and renters (33 Rehab of Existing Units).

Thirty (30) existing units were rehabilitated with CDBG funds. Seven (23%) of the households were extremely low income (30% AMI), seven (23%) of the households were low income (50% AMI), and sixteen (53%) of the households were Low-Moderate Income (80% AMI).

A total of 41 existing units were rehabilitated with HOME or CDBG funds. Four (10%) beneficiaries were persons with disabilities. Twenty-six (63%) beneficiaries were elderly.

**ESG:** According to the 2020 Annual Action Plan, the goals were as follows: ESG through essential services, operating/rehab of emergency shelters, and homeless prevention/rapid rehousing, 572 homeless households will receive support, and 64 households will receive rental assistance.

The City of Rockford Human Services department provided ESG rental assistance for 59 households (119 persons). Due to the pandemic, people had a harder time gaining the income to allow them to pay their rent and also other increased barriers so those that were helped in the program required more help than they may have needed otherwise. The City housed 536 homeless households.

In addition, the City received funding through the ESG-CV (both federal and state pass through funds) to prevent, prepare for and respond to coronavirus. These funds were focused on assisting families/individuals to stay housed with the Homeless Prevention funds. With these funds, as of December 31, 2021, 61 households (140 individuals) were assisted. The City spent ESG-CV to Rapidly-Re-house many people who have been on the streets or in shelters. In total, 207 households (408 individuals) have been housed using the tenant based rental assistance and funds for housing relocation and stabilization. Of the 207 households, 59 were assisted with ESG, 120 were assisted in the federal ESG-CV round 1, 14 were assisted under the traditional State ESG, and the other 14 were assisted under State ESG-CV round 1. Street outreach funds have

been used to ensure that services are reaching those that are on the street and in literal homeless situations. All of this info is recorded in the HMIS system which has also been funded through ESG-CV. Use of these funds has allowed the City of Rockford to continue reducing our homeless numbers and allowed staff to work with the homeless to ensure they were staying safe from COVID-19 virus by placing them into housing of their own versus congregate living situations.

Forty-six percent (46%) of the total households assisted (207 households/Repaid Re-housing and 61 households/Homeless Prevention) reported having a disabled household member.

See Section CR-05 for additional outcomes and CR-45 for problems encountered in meeting the goals.

**Discuss how these outcomes will impact future annual action plans.**

The 2020 CDBG and ESG affordable housing outcomes were at over 90% of our 2020 goals, so no program adjustments are necessary for future annual action plans at this time. However, with the additional ESG-CV funds, Rapid Rehousing numbers will increase in 2021.

The accomplishments for HOME-funded affordable housing outcomes were at approximately 60% of the 2020 goal. Social distancing, health and safety concerns, and the prioritization of CARES Act funding in 2020 were all factors that impacted the outcomes of the HOME funded program. However, the City anticipates that outcomes should improve in 2021 and perhaps be back to normal in 2022. Therefore, no adjustments are being made for the 2021 plan at this time.

See CR-45 for additional challenges in meeting the City's CDBG and HOME goals. See CR-50 to find what actions that the City has taken to foster and maintain affordable housing.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	7	1
Low-income	7	5
Moderate-income	16	8
<b>Total</b>	<b>30</b>	<b>14</b>

**Table 13 – Number of Households Served**

## Narrative Information

The City is required, as part of the CAPER, to address worst-case needs relevant to renters and rent burden. Worst-case needs are low-income (at or below 50% of the Area Median Income) renters who are not receiving rent subsidies and are paying more than half of their income on rent and/or living in severely inadequate housing. In 2020, the City assisted low-income renters and addressed inadequate housing as follows:

- ESG: Assisted homeless individuals in need of housing. This included subsidizing decent housing.
- HOME: Low-income renters could apply to the City's Homebuyer Assistance Program.
- CDBG: Job creation through economic development activities and the demolition of blighted and unsafe homes.

One homebuyer activity included in the chart above had an income of extremely low that was reopened during the year but was counted in a prior year. Therefore, the actual total number of families assisted with HOME funds was 13.

See Attachment - TABLE 13 Supplemental Breakdown. It provides additional details regarding income levels as it relates to homeownership and/or rental activities. Based on the City's program design, all HOME and CDBG activities meet the Section 215 requirements.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

City staff in partnership with other local agencies, greatly increased the amount of outreach that was conducted to those persons living on the streets. This led to improved relationships and familiarity with the unsheltered population which created an opportunity for more referrals to other services. It is expected that the number of unsheltered individuals counted in the 2020 Point in Time (PIT) versus the 2021 PIT, should be reduced by a significant number. Overall homelessness decreased from 465 to 287 in the PIT. Unsheltered homelessness decreased from 45 to 3.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

City staff worked very closely with local emergency shelter and transitional housing providers to ensure the homeless were getting referred to those services. Partnerships were also created so that in the event that the shelters were at capacity (shelter capacity was lowered during 2020 due to the COVID-19 virus) and had to go into lockdown status, the City was able to work with the homeless to get them into temporary hotel placements until the shelters could again accommodate them. Even with the capacity reductions at the shelters, Rockford's largest shelter, the Rockford Rescue Mission, did not have an issue with capacity and had beds available for the majority of the year. According to the PIT there were 188 persons being served that night in Emergency Shelters and 96 in Transitional Housing.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

As a contracted partner of Illinois Department of Children & Family Services (DCFS), the CoC works closely with youth coming out of the foster care system. The Continuum of Care (CoC) can connect youth with subsidized housing vouchers, money for household goods, and costs for college if they are interested. This year, the local community has gotten a large increase in the number of the Department of Housing and Urban



Development Family Unification Program (FUP) vouchers (through both of the regional housing authorities) which increased the ability to assist youth aging out of care who were without stable housing. Additionally, the City's Youth Housing Advocate has created strong partnerships with the Goodwill training programs for youth and the local Workforce Connections (who will work with any low-income family/individual).

The City's Community Action Agency (Agency) has funding to assist low-income families or people coming out of institutions with education and training programs. Specifically, UW Swedish American and Mercy Hospital case managers use the homeless hotline when patients are being discharged with no identified housing options. Relationships are being formed with parole/probation officers. In addition, Rosecrance staff contact the Agency for Projects for Assistance in Transition from Homelessness (PATH) program participants or persons discharged from the Mulberry Center with no identified housing options.

The CoC has a homeless discharge policy which requires any funded agencies to notify them of any discharges from permanent housing (PH) and provide documentation of the reason for discharge. Efforts are made to transition households from one PH to another when possible. For example a family being served in PH with a head of household with a disability who has children age out or the family breaks would no longer be eligible for family PH but could transfer to a singles PH or mainstream voucher.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Within the City of Rockford, the goal is to have no individual or family homeless more than 30 days. Although, the City has not reached this goal, the length of time that people remain homeless has gone down significantly over the last few years. The homeless Coordinated Entry System (CES) works very closely with local affordable housing providers, such as the local public housing authority, to ensure homeless and those at-risk of homelessness have access to affordable housing opportunities. In the past years, the CES provider has worked very closely with Winnebago County Housing Authority to house homeless and at-risk with Mainstream Section 8 vouchers. These vouchers have been a great asset to the community, helping get disabled/homeless persons into subsidized housing. Homeless Prevention programs have greatly increased during 2020 due to the CARES/CURES funds and other funds that have been increased or made available due to the pandemic. These funds were able to help many citizens maintain their housing rather than to fall back into homelessness. In addition, increases in FUP vouchers, CoC grants, and Mainstream vouchers, the City has been able to increase the number of units available to homeless individuals and families.

The City of Rockford reached functional zero for homeless veterans in 2015 and the chronically homeless in 2016. These numbers have maintained at low/no numbers since that time by rapidly rehousing and/or housing and new or returning veterans or chronics. In the past two years homeless families has reduced from 26 to 15 in emergency shelter and have no unsheltered homeless families. Since 2019 unsheltered youth has reduced from 7 to 0 and decreased the number of youth in emergency shelters while increasing the number of youth in transitional housing. 70% of those engaged with in street outreach exit to permanent housing. The median length of time homeless for persons in emergency shelters and transitional housing is 43 bed nights in shelter. Our retention rate for permanent housing has averaged over 90% for several years.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

The Rockford Housing Authority (RHA) serves the Rockford area. It owns and operates more than 1200 public housing units within the City of Rockford, and administers more than 1700 Housing Choice Vouchers. Most recently, RHA converted more than 200 public housing units through RAD conversion, and disposed of 418 public housing units through HUD's Section 18 process.

In addition to the RHA, Northern Illinois Regional Affordable Community Housing (NI ReACH) serves the Rockford area. NI ReACH, newly formed during 2020, administers programs for both Winnebago County (WCHA) and Boone County Housing Authority. For more information about NI ReACH and all of their efforts see their website at <https://nireach.org/>.

See CR-35 for actions taken to enhance coordination between public and private housing and social service agencies. In addition, actions taken to overcome the effects of any impediments identified in the City's Analysis of Impediments to Fair Housing Choice can be found in CR-35. This includes, but is not limited to, the City's affirmative marketing policy for federally funded housing programs.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

The RHA offers the Family Self Sufficiency (FSS) Program, which is a voluntary program that assists individuals in RHA public housing and/or in the Housing Choice Voucher program to gain economic independence. Participants are assisted in establishing an escrow account where savings are set aside to be used towards accomplishing their goals. A portion of the amount paid in rent is placed into this account. Upon successful graduation from the program, the savings are cashed out for the participant's use in achieving their final goals, which may include buying a home. RHA proudly served more than 140 families through the FSS program in 2020.

During 2020, RHA crafted a new training program for all site development Resident Councils, and the Resident Advisory Board (RAB). Councils and RAB received training on resident recruitment and engagement and are provided access to resources to help residents achieve sufficiency via peer based support and leadership.

During 2020, RHA created and implemented a very robust Section 3 program, and training. Partnering with local manufacturers, unions, and service providers, Section 3 qualified residents and community members alike, have access to local jobs and training courses, through RHA's web-based portal.

### **Actions taken to provide assistance to troubled PHAs**

The RHA is not a troubled housing authority.

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

The City of Rockford began two major projects in 2020 seeking to ease the burden placed on property owners as a result of necessary code enforcement.

First, the City undertook the project of implementing a digital plan review process via the software ProjectDox. ProjectDox is a system which allows simultaneous review of building plans submitted to the Construction Services department for review. These plans are required for major renovations and rebuilds of commercial and multi-unit housing structures. By implementing this software, the City hopes to increase availability and decrease turnaround time on the average drawing submission. It also creates a cost savings to the person submitting the drawings to the City. Prior to the digitization of the system, an applicant would have to submit paper copies of their drawings in triplicate, incurring heavy paper costs and labor hours for transport.

Additionally, the City undertook the task of reviewing its adopted building codes and the affiliated amendments. The purpose of this project is threefold: 1) Update existing codes to existing building professional standards; 2) Eliminate multiple amending ordinances which contradict existing codes; and 3) Streamline the various building codes to be as clear as possible for the reader. The City subscribes to the International Code Council's (ICC) method of denoting building codes. The last time that a code update occurred was in 2015. Since then, there have been multiple amendments to the codes located in different places among the City's various ordinances. The primary goal of the project is to adopt the ICC's 2021 Codes, but in doing that the City's personnel hope to streamline the existing codes and clarify some confusing language.

During 2020, the City adopted a Non-Discrimination Ordinance for City contractors. It states the following in the Municipal Code in Part 1, Chapter 11, Article VI, Sec. 11-104 – Duties of contractors and subcontractors: Nondiscrimination. No contractor or subcontractor shall discriminate against any applicant for employment, or in the terms, or conditions of any employee, or in connection with any apprenticeship, or other training program on the basis stated in section 11-101 of this article.

In addition, the Rockford Housing Authority is committed to ensuring equality and opportunity to those seeking affordable housing, and that no one encounters discrimination or unconscious bias when inquiring on housing-related matters. This is done by reviewing and updating current training platforms for all staff on diversity, equity, and inclusion, while affirmatively furthering fair housing.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The City of Rockford has taken the following actions in 2020 to address obstacles to meeting the underserved needs in the community:

- Provided HOME and CDBG funds for rehabilitation of owner-occupied housing units, owned by low-moderate income households. These programs address code violations, as well as accessibility issues.
- Continued to address foreclosed and abandoned housing issues through use of a Vacant and Foreclosed Property Registry, in addition to a robust demolition program, funded by CDBG, IHDA Abandoned Property Program grant, and the City's General Funds.
- The City received over \$2.2 million in CDBG-CV funds, which was used to support micro-enterprise businesses that were impacted by the COVID-19 pandemic. Of the funds received in the first round of CDBG-CV, 70% assisted minority-owned businesses and 40% of the funds assisted women owned businesses.
- In 2020, the City applied for and received a \$250,000 Strong Communities Program grant from IHDA to leverage the existing demolition program. Grant agreements will be executed in 2021.
- In 2020, grant agreements were executed with IHDA for the Single-Family Rehab-Roof program to leverage existing federal housing rehabilitation programs. Implementation of the program began in early 2021.
- The City continues to offer a Mow-to-Own program that allows adjacent property owners to acquire City-owned vacant lots through a maintenance program. In 2020, three Mow to Own Properties were transferred to adjacent neighbors after fulfillment of the two-year maintenance agreements.
- The City of Rockford continues to administer a Vacant and Foreclosed Property Registry (VFPR). This is an additional tool used to fight the spread of blight in neighborhoods and commercial corridors. As of the beginning of 2021, the City has 260 active registrations. This number is smaller than expected because there were less foreclosures/registrations in 2020, due to COVID.
- Region 1 Planning Council (RPC) operates the Northern Illinois Land Bank Authority, which includes the City of Rockford as a voting member. In 2020, the land bank sold its first property that otherwise could have been demolished.
- RPC also serves as the new Agent for the Winnebago County Trustee and has successfully held two online auctions of properties. The most recent auction ended in December 2020, and the total sales amount was just over \$700,000. The majority of these homes will

require rehabilitation work and additional investment. The trustee agent estimates that the value of these properties will be about 4x the sales price after the rehab is complete. If that is the case, the EAV on the houses will be about \$1 million. Some of the homes that were sold through the first auction have already sold for 10x their sales price after a rehab is complete.

- All persons facing homelessness or rapidly rehousing were provided an application for the Low Income Home Energy Assistance Program (LIHEAP), a resource to cover their utility costs. Additional resources for transportation, obtaining identification and medical/dental needs are available to stabilize their ability stay housed as well.

The Rockford Housing Authority has created a “Life Force Development Institute” (LDI) education platform. This platform is open to all participants within the housing authority programs and focuses on soft skills education. Partnering with more than 16 local agencies, the LDI Curriculum addresses the foundational skill gap in the workforce with a 12-week, hands-on learning program covering Job/Career Readiness Skills meeting the community’s workforce goals. Over 12 weeks, participants learn such things as positive attitude, adaptability, personal ethics, self-esteem, taking direction and accepting feedback. They will also learn how to overcome obstacles, problem-solving, emotional intelligence, punctuality and attendance.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

All of the City’s federally funded housing programs comply with the lead-based paint requirements of 24 CFR Part 35. Housing rehabilitation and homebuyer applicants receive proper notices, and properly certified people perform risk assessments, paint testing, lead hazard reduction and post project lead clearances. The City’s Rehabilitation Construction Specialist has an Illinois Department of Public Health Lead Risk Assessors License. Therefore, all required lead hazard reduction work and protective measures are incorporated into project rehabilitation specifications. In addition, the City has an agreement with the Winnebago County Health Department to assist with lead inspections and testing when needed. This agreement allowed experienced and licensed Health Department staff to provide these required lead-related services to the City during periods of staff transition, vacations, sick time, or workflow scheduling issues. See CR-05 for the number of housing units assisted.

All ESG funded housing is inspected to ensure it meets housing quality standards as defined by HUD, which includes lead paint screening. Units that fail for the appearance of lead paint cannot be occupied until the lead paint is addressed by the property owner.

The Rockford Housing Authority conducts a “lead applicability review” of all projects prior to redevelopment, and reports all findings in accordance with HUD’s Annual Lead-Based paint Activity Report (2577-0090). Any target housing unit constructed prior to 1978 is assessed for

lead paint hazards, and projects are completed in compliance with HUD's Lead Safe Housing Rules and applicable standards. All lead maintenance staff are currently hold EPA lead-based paint certifications.

The Winnebago County Health Department's (WCHD) lead program works to prevent and respond to cases of childhood lead poisoning. The WCHD acts as a delegate agency for the Illinois Department of Public Health, providing case management and lead-based paint risk assessments for families affected by childhood lead poisoning. The WCHD also enforces the Illinois Lead Poisoning Prevention Code, holding property owners accountable to correct lead-based paint hazards in homes where these families live.

Additionally, the WCHD manages the "Creating Lead Safe Rockford" (CLSR) grant program funded by the Department of Housing and Urban Development (HUD) currently available to identify and correct lead hazards in qualifying households. CLSR is also able to provide a Healthy Homes Assessment, with possible remediation in qualifying households. Owner-occupied homes, rental units, and vacant properties may qualify for the CLSR program. Funds are intended to correct lead-based paint hazards and perform limited healthy homes interventions. In 2019, the WCHD was awarded more than \$3.4 million to continue the program through 2023.

In 2020, the WCHD's lead program completed a total of 26 lead-based paint inspections/risk assessments, provided financial assistance to mitigate 17 homes, and provided case management to families of 338 children with elevated blood lead levels.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

In 2020, the City of Rockford utilized its CDBG-CV funds to support microenterprise and sole proprietor businesses during the COVID-19 pandemic. These funds directly assisted these business owners who were unable to access financial relief through existing resources. Funds were used to provide short-term working capital assistance to mitigate the loss of revenue resulting from the COVID-19 pandemic.

Community Action continued its funding efforts to support poverty-level persons in obtaining training and education in high demand, high paying fields by subsidizing the cost of job training. Current training focus areas include cold forming, CNC, and nursing. Case workers continue to provide case management to assist families in gaining the skills and knowledge necessary to better their economic situations to the point where they can pull themselves out of poverty.

The Rockford Housing Authority has a robust Family Self Sufficiency, Resident Opportunities for Self-Sufficiency, Residents Service Department,



and Section 3 program. Through these programs, RHA partners with community agencies to deliver services and educational programming to our residents.

RHA has submitted an application to HUD to become a Moving to Work Demonstration Program agency, to administer a “Rent Reform” demonstration. Under this demonstration, RHA will have the capability to assist participants with continued education, training, and job readiness while maintaining an “controlled” rent. If approved, this demonstration will span a six-year timeframe upon initiation of the demonstration.

Partnering with local support service agencies, RHA has applied for a “Jobs Plus” grant. Award of this grant will provide RHA and its partners the opportunity to umbrella services and create a stronger path to self-sufficiency for our participants.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

To effectively implement the Five-Year Consolidated Plan, the City needs to collaborate with a variety of agencies located in the City of Rockford and in Winnebago County. Coordination and collaboration between agencies is important to ensuring that the priorities identified in the Five-Year Consolidated Plan and Annual Action Plans within the City are adequately addressed. Listed below are some key agencies and additional resources that helped to develop institutional support.

#### **Public Institutions –**

- Opportunities to collaborate with other partners on housing rehabilitation programs were limited in 2020 as agency resources were prioritized to address the impact of COVID-19 on the community. The City’s CEDD staff will continue to seek ways to partner and leverage available resources with such agencies as the Winnebago County Health Department’s (WCHD) Lead Safe Program and the City’s Weatherization program, run through the Human Services Department.
- In early 2019, the City of Rockford signed an intergovernmental agreement with the City of Belvidere, and Winnebago and Boone Counties to establish the Northern Illinois Land Bank Authority (NILBA). The NILBA is operated by the Region 1 Planning Council (RPC). In 2020, the NILBA sold its first property – a condemned and vacant duplex, which otherwise would have been demolished as a blighted structure.

- RPC was also appointed as the agent for the Winnebago County Trustee in late 2019. As a result, changes have been made to the trustee program, which include funding for maintenance, interest dollars reinvested in local properties, a modernized sales system to sell these trustee-owned properties, and municipal input on Trustee property sales. They have successfully held two online auctions of properties. The most recent auction ended in December 2020, and the total sales amount was just over \$700,000. The majority of these homes will require rehabilitation work and additional investment. The trustee agent estimates that the value of these properties will be about 4x the sales price after the rehab is complete. If that is the case, the EAV on the houses will be about \$1 million. Some of the homes that were sold through the first auction have already sold for 10x their sales price after a rehab is complete.
- In 2019, the City added a new position, Neighborhood Specialist, to the Community & Economic Development Department. The Specialist's primary responsibility is to facilitate networks of neighborhood associations, build capacity of organizations and community leaders, and strategize neighborhood level plans that align efforts of community organization and stakeholders with City programs. Planned programming and outreach were again limited in 2020 due to the pandemic, however, the Neighborhood Specialist continued to engage neighborhood leaders, residents and other community partners through virtual communication methods. COVID-19 resources were provided to over 140 community and neighborhood leaders to ensure that the community had accurate and up-to-date information. The Specialist collaborated with non-profit agencies and faith-based leaders on the Connect Rockford initiative, which will be launched in the spring of 2021. Numerous Zoom meetings were held with neighborhood leaders to discuss creative sources of funding for their neighborhood projects and ways to address neighborhood problem properties. The Specialist assisted with U.S. Census outreach at one of the City's Strong Houses, as well in the Lewis Lemon & Ellis Schools areas, which are located in low-moderate income neighborhoods in Rockford.
- The City is utilizing the Neighborhood Revitalization Strategy to determine geographic priorities for community development and housing programs, depending upon the type of neighborhood (Strong, Middle, or Emerging), and the program type (i.e., homeownership, rehabilitation, economic development). This strategy was used to determine potential properties for a demolition grant application through IHDA in early 2021.
- The City's Community and Economic Development Department staff continues to seek out and apply for additional grants to partner and complement the CPD grants. In 2020, the City was awarded \$250,000 in a Strong Communities Program grant from IHDA to assist with residential demolitions in the NRSA.
- In 2019, the City of Rockford passed an ordinance that requires vacant and foreclosed properties to register with the City. The purpose of the Vacant and Foreclosed Property Registry (VFPR) is to provide an additional tool as the City continues to fight the spread of blight

in neighborhoods and commercial corridors. There were less foreclosures/registrations in 2020, due to COVID, but the City currently has 260 active registrations.

- The Rockford Housing Authority created a robust Section 3 platform that includes contractor and business partnerships. RHA has developed an education, training, and job readiness program that allows contractors and businesses alike, to post and advertise job opportunities through our Section 3 portal. Utilizing this portal, Section 3 qualified residents can apply for open positions and receive on the job training and education.

#### Non-Profit Organizations

- In the past year, Opportunities Housing Development Corporation, a newly formed Community Housing Development Organization (CHDO) obtained 501c3 status. The CHDO's primary role will be to develop affordable housing to end homelessness.
- Community and Economic Development staff continue to meet with non-profits who are interested in becoming a CHDO and provide information about CHDO requirements. The City did not receive any complete CHDO applications in 2020.

#### Private Industry –

- The City continues to partner with financial institutions who provide first-time mortgage financing for homebuyers who are interested in using the City's homebuyer assistance program funds.
- In 2020, the City's Community and Economic Development Director and Economic Development and Diversity Procurement Coordinator met with many local and state partners and organizations to discuss the impact of COVID-19 on local businesses. They worked together to identify and distribute available resources and information to assist businesses in making decisions during mandated shutdowns.
- The City continued to meet with local business districts to provide additional resources and assistance.

#### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

With the COVID-19 pandemic, there was a focus in 2020 to ensure that residents had access to information and available resources to assist with housing, health and safety concerns. As additional information became available from the Winnebago County Health Department and other

financial assistance programs, the City's Neighborhood Specialist consistently communicated with her contact list of over 100 neighborhood groups to ensure that residents across the City was made aware of housing and support services resources. The City also communicated available resources through its website and social media accounts.

The City's Human Services Department works extensively with private and public housing agencies through a variety of rental assistance for the homeless or persons in poverty. The department is constantly providing ongoing information about rental assistance programs and looking for new private landlords to work with. Through the agency's Housing Navigator, low-income or homeless families are linked with landlords who could provide housing for them. Over the year, the agency has increased the work that they conduct with the Winnebago County Housing Authority, especially through their voucher programs. Both Winnebago County & Rockford Housing Authority received an additional allotment of Family Unification Program (FUP) vouchers, and the City has collaborated with them to get them filled. In addition, Winnebago County received an additional allotment of Mainstream vouchers, which the Human Services department also partners to fill. Human Services actually work with disabled homeless individuals/families to complete the applications, get the needed documents, and to prove homeless verification so that those that need it the most are being served. Both Housing Authority's participated in the local Continuum of Care and also the collective Case Conferencing that is done to quickly find a permanent housing placement for those that need it.

In addition, the Rockford Housing Authority currently has over 20 Memorandums of Understanding in place with community and governmental agencies. Collaboration between these agencies, and City Human Services staff allowed RHA to assist more than 1000 families to secure financial assistance with utilities, provide nutritional meals to more than 1200 elderly and disabled families, 1260 boxes of food to families, and bring in home care to those with mobility concerns.

### **Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

The City's 2020 Analysis of Impediments to Fair Housing Choice identified the following impediments to fair housing in Rockford: Fair Housing Education and Outreach, Need for Decent Safe and Affordable Rental Housing, Lack of Affordable Homeownership Opportunities, Need for Accessible Housing Units that are for Sale or Rent and Economic Issues that affect Fair Housing Choice.

Actions Taken to Address Fair Housing Impediments in 2020:

- The City continues to promote fair housing literature and information through its website, as well as make it available in the Community & Economic Development Department lobby.
- The City continued to offer a homebuyer assistance program, which provides assistance to income eligible individuals for the purchase of a home located anywhere within the City municipal boundaries. This program includes individuals who purchase homes through the Rockford Area Habitat for Humanity. All homebuyer participants are required to take a housing counseling class, which is provided by NW HomeStart.
- The City provided a Certificate of Consistency with the Consolidated Plan for various affordable housing developments that applied for IHDA Low Income Housing Tax Credit funds to support their projects.
- The City utilized over \$2 million in General Funds to support a City-wide Code Enforcement program to identify buildings and properties that have code violations.
- The City continues to offer housing rehabilitation programs to low-moderate income households, including both seniors and those individuals with disabilities. Twenty-six (26) of the forty-one (41) units (63%) rehabilitated with HOME or CDBG were occupied by elderly. Four (4) of the forty-one (41) units were occupied by disabled occupants (9.7%).
- The CDBG-CV funds were used to assist microenterprise and sole proprietor businesses who were impacted by COVID-19. 70% of the businesses assisted with the first round of CDBG-CV were minority owned and 40% were women-owned.
- Economic development staff continue to actively recruit new businesses who can provide additional employment opportunities and work with existing businesses who may need assistance in order to expand their operations.

#### Public Housing:

Although most of the publicly-supported affordable housing developments are distributed across several neighborhoods, Housing Choice Vouchers are usually more concentrated in low opportunity areas. There are some neighborhoods in which there are very few landlords that accept Housing Choice Vouchers or where there are very few affordable rentals available, thereby limiting the housing choices available to these residents. In 2020, Rockford Housing Authority applied for the Moving To Work Demonstration Program application. If granted this designation, RHA will provide landlord incentives to attract landlords with properties within opportunity areas to participate in the Housing Choice Voucher program.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

In 2020, the City of Rockford continued its efforts to encourage the use of Minority and Women Business Enterprises (MBEs/WBEs). Outreach activities included the following:

- The promotion and encouragement of minority and women-owned businesses and their participation in the City's procurement process as general contractors, subcontractors, and suppliers of goods and services.
- The City's purchasing staff continued to seek quotes under \$25,000 from Minority- and Women- Owned Businesses that are certified as MBEs/WBEs by the City's Contract and Grant Compliance Officer.
- Businesses certified as MBEs and WBEs were placed on the City's website for internal purchasers and for the community-at-large to recruit MBEs and WBEs for contracting, subcontracting, products, and services.
- Continued networking with local, state, federal, private agencies, and Rockford Public School District 205, reporting on bid opportunities and organizations to enhance the contractual opportunities for minority and women business development.
- Administered the Procurement Policy approved by City Council that encourages the use of minority- and women-owned businesses in the City's procurement efforts.
- Public Hearing for CDBG-CV funds was made available via written transcript and recording in Spanish.
- Economic Development outreach related to CARES Act funding was provided in Aerobic.

The City of Rockford maintains a process for certifying business as MBEs/WBEs, and recognizes certifications from the Illinois Department of Transportation, Illinois Central Management Services, and the City of Chicago as part of this process. As part of bid requirements, each bidder on contracts or commodity purchases and for all road and building construction projects is required to identify each MBE and WBE subcontractor they will use on the contract, including the estimated dollar amount of the subcontract and the percentage to total bid that will be awarded to

MBE and WBE subcontractors.

- Tracked MBE/WBE participation of total City of Rockford prime contracts spent in construction services and related supplies during 2020 was \$8,744,108.04, representing 33.69% of total dollars, to MBE/WBE certified businesses. The tracked funds include any contracts awarded by City Council for construction services or materials contracts with a value of over \$25,000.

Events and meetings were limited due to COVID 19 restrictions. However, the City continued to engage with the following businesses: Northwestern Illinois Building Trades, RAMM (Rockford Association for Minority Management), SWIFTT (South West Ideas for Today and Tomorrow), Illinois Tollway Technical Assistance, Prairie Stat College Construction Business Development Center, SBDC (Illinois Small Business Development Center), and ADME (Advancing the Development of Minority Entrepreneurship).

Since 2014, the City of Rockford has utilized the LCPtracker System for general contractors and subcontractors to report their certified payrolls for HUD, CDBG, EPA, and other federal government projects that need to report wages under the Davis Bacon Act regulations. In 2020, the State of Illinois implemented an online portal for the tracking of Illinois prevailing wage jobs.

#### Long-Term Monitoring and Compliance

Monitoring of the CDBG and HOME programs is carried out primarily by the Community Development Compliance staff, with assistance from other Neighborhood Development, Economic Development, Legal, Human Services and Finance staff of the City of Rockford. The level and frequency of monitoring is determined by the specific program or development agreement. Due diligence is conducted by various staff members before any funds are disbursed. HOME and CDBG single family housing activities are monitored by assigned staff to ensure that all activities meet housing code compliance and program compliance. Funding for the activities was disbursed incrementally, and each disbursement request was reviewed by a Housing Rehabilitation Specialist to ensure that the federal funds were adjudicated properly, per the program and agreement. Once the rehabilitation was complete and all activity funds had been disbursed, compliance and monitoring of the agreement was the responsibility of the Compliance unit. The Community Development Grants Compliance Supervisor ensured that all occupancy, maintenance, and other requirements for the rehabilitation programs were met. The Compliance staff also monitored IDIS reports on a weekly basis to ensure that funds were being expended in a timely manner.

Occasionally, the City may fund a larger development with longer construction periods and/or lease up periods. Although no new such developments were funded during 2020, should one be funded in the future, monthly progress reports will be obtained during construction and lease up periods. Annual reports, meeting the HOME regulations, are then provided during the Period of Affordability (5 to 20 years). The City of Rockford has one NSP and HOME funded rental development. Annually the owner of the property provides documentation necessary to ensure

that all the regulations are met. This includes, but is not limited to, owner financials, a development proforma documenting continued viability of the project, tenant incomes, and rents. Inspections of the property are completed as often as required by the regulations. During the years that on-site inspections do not take place, owners certify that the property meets local code.

In 2020, Neighborhood Development staff continued to make appropriate revisions to program policy and procedures. HOME and CDBG long-term compliance is monitored by the Community Development Compliance Department through a set of spreadsheets and calendar tickler reminders on applicable projects. The City requires all homeowners who receive CDBG or HOME funds to list the City as a loss payee, so that the City is notified if insurance policies are cancelled. Also, each year a privacy notice is sent out to each homeowner who is still within the term of affordability. This insures notification if a CDBG/HOME participant no longer lives at the property as their primary residence.

In regards to the City of Rockford's CDBG-CV funds, City staff submit a quarterly CDBG-CV Cash on Hand report to HUD (PR-29). Per the development agreements, any business who was directly assisted with CDBG-CV funds is required to submit documentation of working capital expenses. In addition, these recipients submit monthly profit and loss statements on a quarterly basis or upon request of the City, as well as a final report which, at a minimum, provides a statement of final costs to perform the project. All recipients must also sign an affidavit regarding duplication of benefits.

Human Services housing staff ensure that the federal funds are being allocated properly, per the program regulations. Once responses to the City Request for Proposal are received, housing staff review the applications and ensure that all applications meet the HUD regulation standard. The applications are then reviewed by a committee comprised of housing staff and Continuum of Care (CoC) members. A recommendation is then made to the CoC Board. Following CoC Board review, a formal recommendation is made to City Council. ESG recipient costs are scrutinized monthly by both program and fiscal staff to ensure appropriate, eligible expenditures are being made. In addition, monitoring of projects is conducted annually with year one being a full review and year two being a desk review. However, during 2020, all monitoring was desktop due to COVID. The city utilizes the HUD monitoring guides as templates for monitoring sub recipients. Sub recipients receive written feedback consistently throughout the process and at the end of monitoring. They are provided technical assistance to help them overcome any monitoring deficiencies. In addition to the monitoring listed above for ESG funds, a more comprehensive statewide search is completed for ESG-CV funds, in order to ensure there are no duplication of benefits.



**Citizen Participation Plan 91.105(d); 91.115(d)****Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

A public notice regarding availability of the 2020 CAPER for public review and comment was published in the Rockford Register Star on May 11, 2021. See Attachment - Citizen Participation for proof of publication. Copies of the CAPER draft were available on the City of Rockford website [www.rockfordil.gov](http://www.rockfordil.gov), and at the following locations:

- The City of Rockford's Community & Economic Development Department,
- All branches of the Rockford Public Library, and the
- The Rockford Housing Authority.

The CAPER draft was available for public comment from May 11, 2021 through May 26, 2021. This meets the 15-day requirement of 24 CFR Part 91.105(d). No comments were received.

## **CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

2020 is the first year of the five-year 2020-2024 Consolidated Plan. As part of the community engagement process, Housing, Community Development and Economic Development were identified as high priorities. The City has prioritized neighborhood revitalization to address these needs in the community. As part of the Community Development priority, the City continues to run a robust demolition program. The City's CDBG funds remains the primary funding source for demolitions, but the City also provides approximately \$100,000 per year in General Funds. In 2020, the City was awarded \$250,000 through IHDA's Strong Community Program grant. This grant will be able to assist with the demolition of approximately 20 residential properties located in the NRSA. The City continues to look for additional funding sources to leverage additional demolitions and applied for round 5 funding of the IHDA Abandoned Property Program grant. The City has received nearly \$600,000 in prior round APP grant funding, which continues to leverage the existing CDBG demo program.

In addition to demolition, the City continues to support Housing priorities by offering single-family owner-occupied housing rehabilitation programs to income eligible households. These programs are supported by both HOME and CDBG funds. The City has managed HOME-funded housing rehab programs since the inception of HOME. City staff has recognized the increased need to address deferred home maintenance in our community. As a result, the City began using CDBG funds to support housing rehabilitation programs in 2019, and, based on the continued need in the community, has increased funding towards these programs. In 2020, the City offered a single-family, owner-occupied minor housing rehab lottery program and received over 450 pre-applications from interested homeowners. The City received an IHDA Single Family Rehab – Roof Only Grant in July 2020. Besides supplementing the City's housing rehabilitation programs, the grant allowed the City to purchase a software system for managing housing programs from intake through reporting outcomes and compliance monitoring. City staff will begin accepting applications early 2021, once the Neighborly Software system is fully established. The City will continue to look for additional funding sources to supplement the CDBG and HOME funded programs. Challenges due to the pandemic has impacted overall programming and priorities and the City continues to look for ways to meet the needs of its residents in a safe and efficient manner.

One of the biggest challenges to Rockford homeowners is the City's aging housing stock. 78% of the homes in Rockford were built before 1978, so lead-based paint hazards are a concern. These hazards must be addressed by certified/licensed contractors and can increase the cost of a home rehabilitation project. These necessary repairs are difficult for many low to moderate income households to afford. To exacerbate matters, the pandemic has resulted in limited construction supplies and materials. City construction staff have seen over a 70% increase in cost for softwoods (lumber), 16% increase in steel, 12% increase in shingles, and 8% price increase in other materials over the past year. These cost

increases will directly impact the ability of homeowners to be able to afford home repair, as well as impact the City's ability to run programs effectively and efficiently to assist as many homes as possible.

As a result of CARES Act funding, the City received over \$2 million in CDBG-CV funds. Based on other programs and grants made available to address housing needs, as well as input from the community, the City used these funds to assist microenterprise and sole proprietor businesses, in an effort to keep residents employed and keep businesses open.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

N/A

## **CR-50 - HOME 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The City of Rockford has one HOME funded rental development that requires on-site inspections. 533 Fisher is owned by Bridge Rockford Alliance. On-site inspection take place every three (3) years. Since the last on-site inspection took place on January 31, 2018, the next inspection is not required until January 2021. The CR-40 details long term monitoring for rental developments. Although an inspection was not required during 2020, the owner certified that the property meets local code with their annual report submission. The annual report included, but was not limited to the development proforma documenting continued viability of the project, tenant incomes, and rents.

### **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

24 CFR Part 92.351(b), requires the City to have procedures to establish and oversee a minority outreach program to ensure the inclusion, to the maximum extent possible, of minorities and women, and entities owned by minorities and women, including, without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all HOME funded contracts. The City has a Contract & Grant Compliance Officer and an ED & Diversity Procurement Coordinator (ED Coordinator) to ensure these requirements are met. See Section CR-40 for minority business outreach efforts, regardless of funding source, completed by the City during 2020.

In addition to the minority business outreach efforts, the City also has an affirmative marketing policy for City staff, sub-recipients, developers, owners, and sponsors to follow for marketing and minority outreach. It includes a reference to the City's Minority and Women Business Enterprise Procurement Policy that was revised and approved by City Council as ordinance 2019-155-O on July 3, 2019. It states the following:

*Statement of Policy: The City of Rockford is committed to ensuring nondiscrimination in the award of City contracts and the participation of certified Minority and Women Business Enterprises (MWBEs) in its procurement of commodities and services. The City will work to remove barriers to the full participation of MWBEs in the award of such contracts by taking a proactive role in facilitating the development and certification of such businesses and enforcing compliance with all local, state, and federal nondiscrimination and equal employment opportunities statutes, as well as this policy.*

*Policy Objectives: To ensure full implementation of and compliance with this policy, the City will:*

1. A. *Establish a local program for certifying minority – and women-owned business enterprises as those entities are defined in this policy.*
2. B. *Establish proactive policies and procedures which promote full participation by MWBEs in bidding on all City procurement offerings and requests for proposals, including procedures to identify and mitigate or eliminate obstacles to MWBE participation.*
3. C. *Establish and enforce procurement policies and procedures which support participation in City procurement offerings by business entities whose subcontracting practices are non-discriminatory.*

*Establish annual MWBE utilization goals commencing one (1) year from the adoption of this policy, based upon data collected in previous years(s). These goals shall be aspirational and shall serve only as a measure of the effectiveness of this Policy; goals shall not be imposed upon any individual contract or subcontract.*

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

HOME Program Income (PI) received during 2019 is required to be obligated prior to 2020 HOME Entitlement. 2019 HOME PI in the amount of \$5,440.36 was not obligated to a HOME activity within the 2020 Program Year. HOME PI received during 2020 totaled \$7,742.50 and is anticipated to be obligated to an activity prior to 2021 HOME Entitlement being obligated. Therefore, the number of projects and owner or tenant characteristics for HOME PI are not yet known.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

In addition to actions mentioned under Section CR-35 (actions taken to overcome the effects of any impediments in the AI), City staff,

developers, and subrecipients follow the City's *Affirmatively Further Fair Housing Marketing & Minority Outreach Guidelines and Requirements* when marketing affordable housing programs and/or projects. Besides providing a list of all the protected classes as mentioned in federal and state law, the guidance addresses marketing and monitoring. This includes, but is not limited to, providing access to the Equal Housing Opportunity logo required for marketing materials, referencing the City's Minority and Women Business Enterprise Procurement Policy, and providing additional resources. The challenges of the pandemic made it difficult to host or attend in-person events, but the City continued to use electronic media (email, social media, and video conferencing) to communicate available resources and information.

According to the US Census Bureau 2019 American Community Survey 1-Year Estimates, Rockford's racial demographics consists of approximately 71.2% White, 25.2% Black or African American, and 7.6% of American Indian and Alaska Native, Asian, Native Hawaiian, and Other Pacific Islander, or Some other race. Approximately, 18.9% of the Rockford population is Hispanic or Latino (of any race). See Section CR-10 for Racial and Ethnic composition of families assisted through the HOME and CDBG program. Comparatively, of households assisted through the CDBG program, approximately 67% were white, 30% were Black/African American, and 3% were American Indian/Alaskan. Approximately, 7% of households were Hispanic or Latino (of any race). Comparatively, of households assisted through the HOME program, approximately 60% were Black/African American, and 40% were White.

In 2020, City staff participated in the Housing Partnership Group monthly meetings and events facilitated by NW HomeStart, a local HUD certified housing counseling agency and Neighborworks affiliate. This group of local neighborhood and housing non-profits and government agencies meet monthly to share program updates, provide referrals, and discuss how to foster and maintain affordable housing. City staff also participated in a webinar hosted by a participating lender to provide information on the City's Homebuyer Assistance program.

The City continued to support permanent supportive housing through the Continuum of Care process to ensure affordable housing for the most at risk homeless persons. The CARES ACT (ESG-CV) dramatically increased rapid rehousing programs resulting in more persons paying an affordable amount for their housing.

The Rockford Housing Authority, through its non-profit, Bridge Rockford, has drafted a redevelopment plan that will provide more than 100 homes within opportunity areas over the next several years. To date, Bridge Rockford has secured two homes and is in the midst of rehabbing these homes. Once completed, these properties will become Project Based (PBV) homes for those who are in need of affordable housing. This will address the need for more permanent supportive housing for homeless individuals, as well as more housing for extremely low income, low income, and moderate income residents.

## CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

### 1. Recipient Information—All Recipients Complete

#### Basic Grant Information

Recipient Name	ROCKFORD
Organizational DUNS Number	136666174
EIN/TIN Number	366006082
Identify the Field Office	CHICAGO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

#### ESG Contact Name

Prefix	Ms
First Name	Anqunette
Middle Name	0
Last Name	Parham
Suffix	0
Title	Executive Director, Human Services

#### ESG Contact Address

Street Address 1	425 E. State Street
Street Address 2	0
City	Rockford
State	IL
ZIP Code	-
Phone Number	7793487509
Extension	0
Fax Number	0

CAPER

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**Email Address** Anqunette.Parham@rockfordil.gov

**ESG Secondary Contact**

**Prefix** Ms  
**First Name** Jennifer  
**Last Name** Jaeger  
**Suffix** 0  
**Title** Community Services Director  
**Phone Number** 7793487565  
**Extension** 0  
**Email Address** Jennifer.Jaeger@rockfordil.gov

**2. Reporting Period—All Recipients Complete**

**Program Year Start Date** 01/01/2020  
**Program Year End Date** 12/31/2020

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** Institute for Community Alliances  
**City:** Rockford  
**State:** IL  
**Zip Code:** 61103, 6802  
**DUNS Number:** 149341732  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 29000



**Subrecipient or Contractor Name:** Remedies Renewing Lives

**City:** Rockford

**State:** IL

**Zip Code:** 61108, 2203

**DUNS Number:** 102369634

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 25000

**Subrecipient or Contractor Name:** Shelter Care Ministries

**City:** Rockford

**State:** IL

**Zip Code:** 61104, 1209

**DUNS Number:** 609264098

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 25000

## **CR-65 - Persons Assisted**

See Attachment – HUD ESG CAPER FY2020 - CVS

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	4,135
Total Number of bed-nights provided	4,135
Capacity Utilization	100.00%

Table 24 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

- Percent (%) successful exits to permanent housing: 2020 - 91%
- Permanent housing exits to new permanent housing or retained in perm housing: 2020 - 96%
- Median length of time homeless (nights): 2020 - 22

There is a 24 month expenditure requirement for ESG. The City anticipates that the 2019 ESG funds will be expended by the 24 month deadline in July 2021, based on current program expenses.

For CR-75 Expenditures, please note the following:

Table 26 – ESG Expenditures for Rapid Re-Housing: Program Year 2020 Rapid Rehousing expenditures include \$758 for landlord incentives. Landlord incentives is a unique activity eligible under ESG-CV funding. Total Program Year 2020 Rapid Rehousing expenditures are \$302,889.

Table 29 & 31 – Total ESG Funds Expended: Program Year 2020 Funds Expended on ESG Activities includes \$758 for Rapid Rehousing landlord incentives eligible under ESG-CV. Total Program Year 2020 Funds Expended on ESG Activities are \$598,477.

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	1,053	0	266,503
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	1,795
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	13,732
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>1,053</b>	<b>0</b>	<b>282,030</b>

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	22,910	23,573	217,432
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	3,909	2,939	41,030
Expenditures for Housing Relocation & Stabilization Services - Services	3,713	2,702	43,669
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>30,532</b>	<b>29,214</b>	<b>302,131</b>

Table 26 – ESG Expenditures for Rapid Re-Housing

**11c. ESG Expenditures for Emergency Shelter**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Essential Services	6,083	14,683	0
Operations	15,493	21,880	0
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>21,576</b>	<b>36,563</b>	<b>0</b>

**Table 27 – ESG Expenditures for Emergency Shelter****11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Street Outreach	0	0	0
HMIS	11,433	29,794	0
Administration	3,129	3,675	13,558

**Table 28 - Other Grant Expenditures**

**11e. Total ESG Grant Funds**

<b>Total ESG Funds Expended</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
	67,723	99,246	597,719

**Table 29 - Total ESG Funds Expended****11f. Match Source**

	<b>2018</b>	<b>2019</b>	<b>2020</b>
Other Non-ESG HUD Funds	0	30,000	0
Other Federal Funds	45,512	20,758	0
State Government	11,396	20,298	0
Local Government	0	0	0
Private Funds	8,435	35,956	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>65,343</b>	<b>107,012</b>	<b>0</b>

**Table 30 - Other Funds Expended on Eligible ESG Activities****11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
	133,066	206,258	597,719

**Table 31 - Total Amount of Funds Expended on ESG Activities**

# Attachments



Official Certificate of Publication as Required by State Law and IPA By-Laws

Certificate of the Publisher

Gannett certifies that it is the publisher of the Rockford Register Star. Rockford Register Star, a secular newspaper, has been continuously published daily for more than 50 weeks prior to the first publication of the attached notice, is published in the City Rockford, County of Winnebago, Township of Rockford, State of Illinois, is of general circulation throughout that county and surrounding area, and is a newspaper as defined by 715 ILCS 5/5.

A notice, a true copy of which is attached, was published 1 time in Rockford Register Star. The publication of the notice was made in the newspaper, dated and published on **May 11<sup>th</sup>, 2021**. The notice was also placed on a statewide public notice website as required by 715 ILCS 5/2.1.

In witness, the Rockford Register Star has signed this certificate by Gannett, its publisher, at Rockford, Illinois, on **May 11<sup>th</sup>, 2021**.

**City of Rockford**  
**Ad #RRS000284248**

By:

Ashley Anderson  
Legal Notice Representative  
Rockford Register Star – Gannett

Publisher

(Note: Unless otherwise ordered, notarization of this document is **not** required.)  
Ad attached



**NOTICE OF AVAILABILITY OF  
THE 2020 CONSOLIDATED ANNUAL  
PERFORMANCE AND EVALUATION REPORT (CAPER)  
CITY OF ROCKFORD, ILLINOIS**

To all interested agencies, Groups and Persons:  
Notice is hereby given as required by the Housing and Community Development Act of 1974, as amended (P.L. 93-383), that the City of Rockford is making its 2020 Consolidated Annual Performance and Evaluation Report (CAPER) available for public comment and review. The CAPER will be made available for public comment and review beginning May 11, 2021 and ending May 26, 2021. The CAPER will be made available at the following locations: the City of Rockford website [www.rockfordil.gov](http://www.rockfordil.gov); the City's Dept. of Community & Economic Development, 425 E. State St.; the City's Dept. of Health & Human Services, 612 N Church St; the Rockford Public Library; Hart Interim Library, 214 N. Church St; East Branch, 6685 E. State St; Montague Branch, 1238 S. Winnebago St.; and the Rockford Housing Authority, 223 S. Winnebago St.

All interested agencies, groups, and persons wishing to have their comments considered by the City of Rockford are invited to send their comments to the following address by 5:00 PM on May 26, 2021:

Karl F. Franzen, Director  
Community & Economic Development  
425 E. State Street - Rockford, Illinois 61104  
[Karl.Franzen@rockfordil.gov](mailto:Karl.Franzen@rockfordil.gov)

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**NOTICE OF AVAILABILITY OF  
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Karl F. Franzen, Director  
Community & Economic Development  
425 E. State Street - Rockford, Illinois 61104  
[Karl.Franzen@rockfordil.gov](mailto:Karl.Franzen@rockfordil.gov)

[A|A Online Bill Pay \(/pay-my-bill/\)](#)

( / )

## CEDD Reports

This page contains reports, plans and studies created by the Community & Economic Development department. Explanations and links to additional information are included below.

### **2020 Consolidated Annual Performance and Evaluation Report (CAPER)**

The City of Rockford is pleased to present a draft of the 2020 Consolidated Annual Performance and Evaluation Report (CAPER) for public review and comment.

If you would like to provide comments regarding this plan, please submit in writing to Karl F. Franzen, Director, Community & Economic Development, 425 E. State Street, Rockford, Illinois 61104 or via email at [Karl.Franzen@rockfordil.gov](mailto:Karl.Franzen@rockfordil.gov) (<mailto:Karl.Franzen@rockfordil.gov>). The City will consider all comments received by 5:00 PM on May 26, 2021.

2020 Consolidated Annual Performance and Evaluation Report (CAPER) (<https://rockfordil.gov/city-of-rockford-2020-caper-draft-5-11-21/>)

### **2021 Annual Action Plan**

2021 Annual Action Plan (<https://rockfordil.gov/city-of-rockford-2021-annual-action-plan-4-23-21/>)

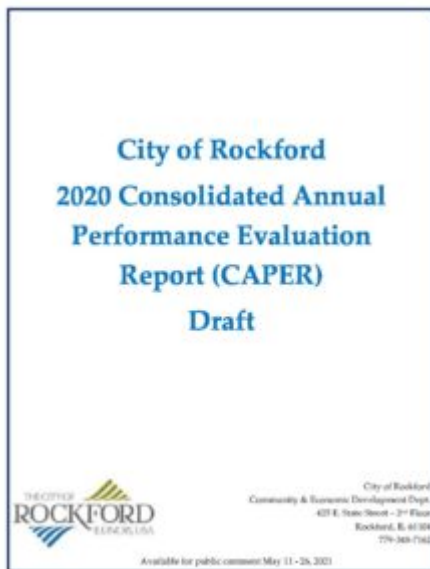
### **2021 Annual Action Plan Public Hearing and Drafts**

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(/)

## City of Rockford 2020 CAPER Draft 5-11-21



(<https://rockfordil.gov/wp-content/uploads/2021/05/City-of->

Rockford-2020-CAPER-Draft-5-11-21.pdf)

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p. 779-348-7300 (tel:1-779-348-7300)

425 E. State Street; Rockford, IL 61104

Monday – Friday, 8:00 a.m. – 5:00 p.m.

## City Departments

City Council (<https://rockfordil.gov/city-council/>)

Council Agenda and Minutes (<https://rockfordil.gov/city-council/council-minutes/>)

Public Participation (<https://rockfordil.gov/city-council/public-participation/>)

Public Notice of the Schedule of Regular Meetings (<https://rockfordil.gov/city-council/public-notice-of-the-schedule-of-regular-meetings/>)

Committees, Board and Commissions (<https://rockfordil.gov/city-council/committees-board-and-commissions/>)

Community & Economic Development (<https://rockfordil.gov/city-departments/community-and-economic-development/>)

Finance (<https://rockfordil.gov/city-departments/finance/>)

Fire (<https://rockfordil.gov/city-departments/fire/>)

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Public Works (<https://rockfordil.gov/city-departments/public-works/>)



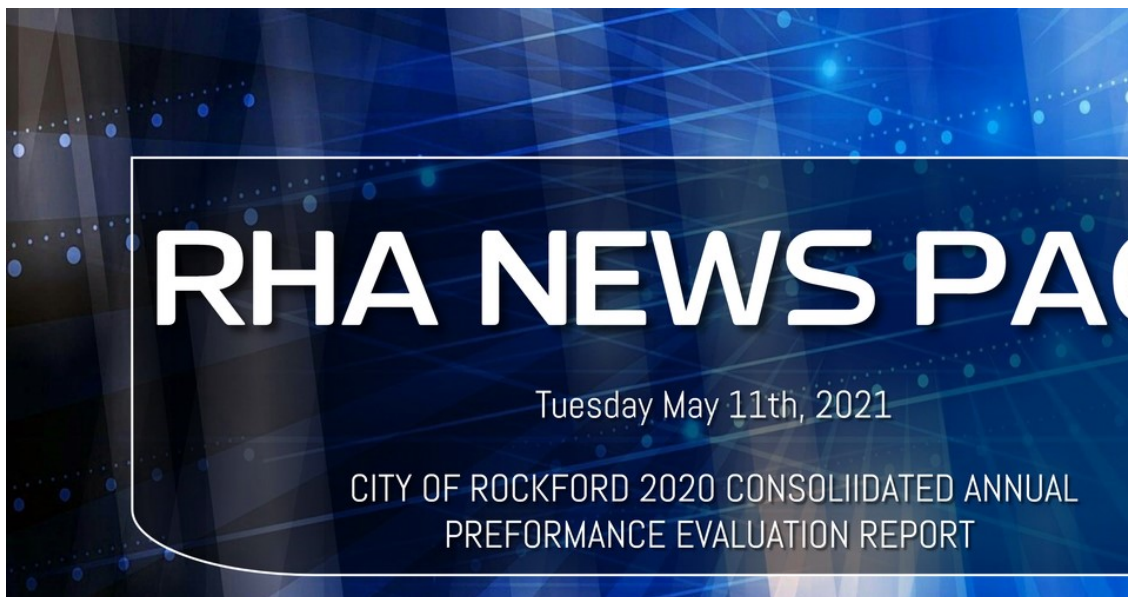
## Andrea Hinrichs

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**From:** Rockford Housing Authority <amackey@rockfordha.org>  
**Sent:** Tuesday, May 11, 2021 5:01 PM  
**To:** Andrea Hinrichs  
**Subject:** The City of Rockford 2020 Consolidated Annual Performance Evaluation Report (CAPER) will be available for public review & comment.

**CAUTION:** This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

[View this email in your browser](#)



**PUBLIC NOTICE**  
**CITY OF ROCKFORD 2020 CONSOLIDATED ANNUAL  
PERFORMANCE EVALUATION REPORT (CAPER)**

The City of Rockford 2020 Consolidated Annual Performance Evaluation Report (CAPER) will be available for public review & comment. A copy of the document for Rockford Housing residents, staff, and community will be accessible from May 11th to May 26, 2021, for your convenience at the RHA Central Office, 223 S. Winnebago St. Rockford, IL., during business office

hours.

[See the Full Document Here](#)

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You are receiving this based on your interest and your agreement to receive communication from the  
Rockford Housing Authority.

**Our mailing address is:**

Rockford Housing Authority  
223 S Winnebago St  
Rockford, IL 61102-2259

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Want to change how you receive these emails?

You can [update your preferences](#) or [unsubscribe from this list](#).



## Andrea Hinrichs

---

**From:** Karl Franzen  
**Sent:** Friday, May 21, 2021 12:10 PM  
**To:** Andrea Hinrichs  
**Subject:** RE: CAPER comments

I have not.

Thank you in advance.

Karl F. Franzen  
Director, Community and Economic Development Department City of Rockford | 425 E. State St. | Rockford, IL 61104  
779-348-7424 – office | 815-218-3990 – cell

City Hall is open to the public as of February 8, 2021 with face covering and social distancing measures in place. We encourage you to take advantage of online services and contact Community and Economic Development staff with any questions.

The opinions expressed in this email are my own and do not necessarily represent those of the City of Rockford, IL.

-----Original Message-----

From: Andrea Hinrichs <Andrea.Hinrichs@rockfordil.gov>  
Sent: Friday, May 21, 2021 12:06 PM  
To: Karl Franzen <Karl.Franzen@rockfordil.gov>  
Subject: Re: CAPER comments

Thank you. Have you received any comments as of today?

Andrea

> On May 21, 2021, at 11:44 AM, Karl Franzen <Karl.Franzen@rockfordil.gov> wrote:

>

> Yes, my OOF says to email comments to Deb by 5/26.

>

> Thank you in advance.

>

> Karl F. Franzen

> Director, Community and Economic Development Department City of

> Rockford | 425 E. State St. | Rockford, IL 61104

> 779-348-7424 - office | 815-218-3990 - cell

>

> City Hall is open to the public as of February 8, 2021 with face covering and social distancing measures in place. We encourage you to take advantage of online services and contact Community and Economic Development staff with any questions.

>

>

> Please visit the City of Rockford's COVID-19 webpage for a Business Resource Guide.

>

> The opinions expressed in this email are my own and do not necessarily represent those of the City of Rockford, IL.



>  
>  
> -----Original Message-----  
> From: Andrea Hinrichs <Andrea.Hinrichs@rockfordil.gov>  
> Sent: Friday, May 21, 2021 11:43 AM  
> To: Karl Franzen <Karl.Franzen@rockfordil.gov>  
> Cc: Deb Dorsey <Deb.Dorsey@rockfordil.gov>  
> Subject: CAPER comments  
>  
> Hi Karl,  
>  
> Have you received any CAPER comments yet?  
>  
> I understand that you are going to update your out of office reply to ask people to forward their comments to Deb in your absence. I appreciate that. Thank you.  
>  
> Andrea

## Andrea Hinrichs

---

**From:** Deb Dorsey  
**Sent:** Thursday, May 27, 2021 7:23 AM  
**To:** Andrea Hinrichs  
**Subject:** 2020 CAPER - Public Comments

The public comment period for the 2020 CAPER ended at 5pm on May 26. I did not receive any public comments regarding the report.

Thanks.

**Deb Dorsey**

Housing and Program Manager  
City of Rockford – Community & Economic Development Dept.  
425 E. State Street, Rockford, IL 61104  
Phone: 779-348-7442  
Fax: 888-789-0621

*The opinions expressed here are my own and do not necessarily represent those of the City of Rockford, IL.*

**TABLE 13 Supplemental Breakdown**

<b>Number of Households Served</b>	<b>CDBG Actual Homeownership Households</b>	<b>CDBG Actual Rental Households</b>	<b>HOME Actual Homeownership Households</b>	<b>HOME Actual Rental Households</b>	<b>ESG Actual Rental Households</b>	<b>ESG-CV CARES Act Rental Households</b>
Extremely Low-income (30% AMI)	7	0	1*	0	59	206
Low-income (50% AMI)	7	0	5	0	0	3
Moderate-income (80% AMI)	16	0	8	0	0	0
<b>Total</b>	<b>30</b>	<b>0</b>	<b>14</b>	<b>0</b>	<b>59</b>	<b>209</b>

\* One homebuyer activity included in the chart above had an income of extremely low that was reopened during the year but was counted in a prior year. Therefore, the actual total number of families assisted with HOME during Program Year 2020 funds was 13.



HUD ESG CAPER FY2020

Grant: **ESG: Rockford - IL - Report**    Type: **CAPER**

Report Date Range

1/1/2020 to 12/31/2020

Q01a. Contact Information

First name	Jennifer
Middle name	G
Last name	Jaeger
Suffix	
Title	
Street Address 1	612 N Church Street
Street Address 2	
City	Rockford
State	Illinois
ZIP Code	61103
E-mail Address	jennifer.jaeger@rockfordil.gov
Phone Number	(779)348-7565
Extension	
Fax Number	()-

Q01b. Grant Information

As of 5/21/2021

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2020						
2019	E19MC170020	\$180,781.00	\$65,262.09	\$115,518.91	7/12/2019	7/12/2021
2018	E18MC170020	\$178,770.00	\$178,770.00	\$0	8/7/2018	8/7/2020
2017	E17MC170020	\$182,521.00	\$164,471.98	\$18,049.02	10/19/2017	10/19/2019
2016	E16MC170020	\$185,376.00	\$185,376.00	\$0	7/14/2016	7/14/2018
2015	E15MC170020	\$186,454.00	\$186,454.00	\$0	6/15/2015	6/15/2017
2014	E14MC170020	\$166,875.00	\$162,593.30	\$4,281.70	6/18/2014	6/18/2016
2013	E13MC170020	\$139,919.00	\$139,919.00	\$0	7/26/2013	7/26/2015
2012						
2011						
Total		\$1,220,696.00	\$1,082,846.37	\$137,849.63		

ESG Information from IDIS

CAPER reporting includes funds used from fiscal year:

2020

Project types carried out during the program year

Enter the number of each type of projects funded through ESG during this program year.

Street Outreach	0
Emergency Shelter	0
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	1
Homelessness Prevention	0

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	No
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
City of Rockford CAA	131	City of Rockford CAA City ESG Rapid Rehousing	164	13				IL-501	176000	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Shelter Care Ministries	97	Shelter Care Ministries Family Emergency Shelter	119	1	0			IL-501	176000	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
SecondFirst Church	311	SecondFirst Church - Rockford Overnight Café	312	1	3			IL-501,IL-501	179201,179201	0	ServicePoint	2020-01-01	2020-12-31	No	Yes

Q05a: Report Validations Table

Total Number of Persons Served	344
Number of Adults (Age 18 or Over)	270
Number of Children (Under Age 18)	74
Number of Persons with Unknown Age	0
Number of Leavers	332
Number of Adult Leavers	266
Number of Adult and Head of Household Leavers	266
Number of Stayers	12
Number of Adult Stayers	4
Number of Veterans	13
Number of Chronically Homeless Persons	47
Number of Youth Under Age 25	49
Number of Parenting Youth Under Age 25 with Children	10
Number of Adult Heads of Household	266
Number of Child and Unknown-Age Heads of Household	0
Heads of Households and Adult Stayers in the Project 365 Days or More	1

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	0	0	0	0	0.00 %
Social Security Number	16	17	2	35	10.17 %
Date of Birth	0	1	0	1	0.29 %
Race	1	2	0	3	0.87 %
Ethnicity	0	13	0	13	3.78 %
Gender	0	1	0	1	0.29 %
Overall Score				36	10.47 %

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	17	6.30 %
Project Start Date	0	0.00 %
Relationship to Head of Household	2	0.58 %
Client Location	0	0.00 %
Disabling Condition	17	4.94 %

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	118	35.54 %
Income and Sources at Start	61	22.93 %
Income and Sources at Annual Assessment	0	0.00 %
Income and Sources at Exit	53	19.92 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	208	0	0	38	35	41	21.15 %
TH	0	0	0	0	0	0	--
PH (All)	62	0	0	0	0	2	3.00 %
Total	270	0	0	0	0	0	16.99 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	28	57
1-3 Days	33	5
4-6 Days	19	1
7-10 Days	22	100
11+ Days	143	169

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	0	0	--
Bed Night (All Clients in ES - NBN)	0	0	--

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	270	236	34	0	0
Children	74	0	74	0	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	344	236	108	0	0
For PSH & RRH – the total persons served who moved into housing	106	32	74	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	266	232	34	0	0
For PSH & RRH – the total households served who moved into housing	54	31	23	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	103	79	24	0	0
April	41	18	23	0	0
July	7	1	6	0	0
October	3	0	3	0	0

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	0	0	0	0

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	0	0	0	0
Rate of Engagement	0.00	0.00	0.00	0.00

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	176	175	1	0
Female	92	59	33	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	1	1	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	1	1	0	0
Subtotal	270	236	34	0

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	34	34	0	0
Female	40	40	0	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	74	74	0	0

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	0	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Subtotal	0	0	0	0	0

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	210	34	26	132	18	0	0
Female	132	40	24	67	1	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	1	0	0	1	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	1	0	0	1	0	0	0
Subtotal	344	74	50	201	19	0	0

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	36	0	36	0	0
5 - 12	33	0	33	0	0
13 - 17	5	0	5	0	0
18 - 24	50	40	10	0	0
25 - 34	57	37	20	0	0
35 - 44	36	33	3	0	0
45 - 54	63	62	1	0	0
55 - 61	45	45	0	0	0
62+	19	19	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	344	236	108	0	0

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	138	128	10	0	0
Black or African American	185	98	87	0	0
Asian	0	0	0	0	0
American Indian or Alaska Native	5	1	4	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0	0
Multiple Races	13	6	7	0	0
Client Doesn't Know/Client Refused	1	1	0	0	0
Data Not Collected	2	2	0	0	0
Total	344	236	108	0	0

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	309	209	100	0	0
Hispanic/Latino	22	14	8	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	13	13	0	0	0
Total	344	236	108	0	0

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults 🔄	With Only Children	Unknown Household Type
Mental Health Problem	108	103	4	1	--	0	0
Alcohol Abuse	15	15	0	0	--	0	0
Drug Abuse	13	13	0	0	--	0	0
Both Alcohol and Drug Abuse	18	18	0	0	--	0	0
Chronic Health Condition	38	31	4	3	--	0	0
HIV/AIDS	2	2	0	0	--	0	0
Developmental Disability	11	6	0	5	--	0	0
Physical Disability	40	38	1	1	--	0	0

🔄 The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults 🔄	With Only Children	Unknown Household Type
Mental Health Problem	110	108	2	0	--	0	0
Alcohol Abuse	15	15	0	0	--	0	0
Drug Abuse	13	13	0	0	--	0	0
Both Alcohol and Drug Abuse	18	18	0	0	--	0	0
Chronic Health Condition	40	33	4	3	--	0	0
HIV/AIDS	2	2	0	0	--	0	0
Developmental Disability	11	6	0	5	--	0	0
Physical Disability	42	40	1	1	--	0	0

🔄 The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults 🔄	With Only Children	Unknown Household Type
Mental Health Problem	2	0	2	0	--	0	0
Alcohol Abuse	0	0	0	0	--	0	0
Drug Abuse	0	0	0	0	--	0	0
Both Alcohol and Drug Abuse	0	0	0	0	--	0	0
Chronic Health Condition	0	0	0	0	--	0	0
HIV/AIDS	0	0	0	0	--	0	0
Developmental Disability	0	0	0	0	--	0	0
Physical Disability	0	0	0	0	--	0	0

🔄 The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	46	23	23	0	0
No	189	178	11	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	35	35	0	0	0
Total	270	236	34	0	0

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	32	14	18	0	0
No	12	7	5	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	2	2	0	0	0
Total	46	23	23	0	0



Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	117	101	16	0	0
Transitional housing for homeless persons (including homeless youth)	1	1	0	0	0
Place not meant for habitation	84	72	12	0	0
Safe Haven	0	0	0	0	0
Host Home (non-crisis)	1	1	0	0	0
Interim Housing ☞	0	0	0	0	0
Subtotal	203	175	28	0	0
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	3	3	0	0	0
Substance abuse treatment facility or detox center	1	1	0	0	0
Hospital or other residential non-psychiatric medical facility	3	3	0	0	0
Jail, prison or juvenile detention facility	9	9	0	0	0
Foster care home or foster care group home	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Subtotal	16	16	0	0	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Owned by client, no ongoing housing subsidy	3	2	1	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	1	0	1	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Rental by client, no ongoing housing subsidy	4	4	0	0	0
Rental by client, with VASH subsidy	1	1	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy	4	3	1	0	0
Hotel or motel paid for without emergency shelter voucher	4	4	0	0	0
Staying or living in a friend's room, apartment or house	12	11	1	0	0
Staying or living in a family member's room, apartment or house	13	11	2	0	0
Client Doesn't Know/Client Refused	3	3	0	0	0
Data Not Collected	6	6	0	0	0
Subtotal	51	45	6	0	0
Total	270	236	34	0	0

☞ Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	145	0	145
\$1 - \$150	0	0	1
\$151 - \$250	1	0	3
\$251 - \$500	4	0	3
\$501 - \$1000	55	0	55
\$1,001 - \$1,500	8	0	8
\$1,501 - \$2,000	8	0	9
\$2,001+	4	1	4
Client Doesn't Know/Client Refused	1	0	1
Data Not Collected	44	0	37
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	3	0
Number of Adult Stayers Without Required Annual Assessment	0	0	0
Total Adults	270	4	266

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	12	1	13
Unemployment Insurance	3	0	1
SSI	44	0	47
SSDI	25	0	26
VA Service-Connected Disability Compensation	2	0	2
VA Non-Service Connected Disability Pension	0	0	0
Private Disability Insurance	1	0	1
Worker's Compensation	0	0	0
TANF or Equivalent	4	0	5
General Assistance	0	0	0
Retirement (Social Security)	4	0	4
Pension from Former Job	0	0	0
Child Support	2	0	4
Alimony (Spousal Support)	0	0	0
Other Source	1	0	0
Adults with Income Information at Start and Annual Assessment/Exit	0	1	0

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	5	5	10	50.00 %	1	3	4	25.00 %	0	0	0	--
Supplemental Security Income (SSI)	38	1	39	97.23 %	2	4	6	33.33 %	0	0	0	--
Social Security Disability Insurance (SSDI)	23	0	23	100.00 %	0	1	1	0.00 %	0	0	0	--
VA Service-Connected Disability Compensation	2	0	2	100.00 %	0	0	0	--	0	0	0	--
Private Disability Insurance	1	0	1	100.00 %	0	0	0	--	0	0	0	--
Worker's Compensation	0	0	0	--	0	0	0	--	0	0	0	--
Temporary Assistance for Needy Families (TANF)	1	0	1	100.00 %	0	4	4	0.00 %	0	0	0	--
Retirement Income from Social Security	3	1	4	75.00 %	0	0	0	--	0	0	0	--
Pension or retirement income from a former job	0	0	0	--	0	0	0	--	0	0	0	--
Child Support	0	0	0	--	2	2	4	50.00 %	0	0	0	--
Other source	0	1	1	0.00 %	0	0	0	--	0	0	0	--
No Sources	63	61	124	51.16 %	2	13	15	13.00 %	0	0	0	--
Unduplicated Total Adults	121	69	190		6	23	29		0	0	0	

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	147	1	148
WIC	12	1	11
TANF Child Care Services	2	0	2
TANF Transportation Services	1	0	1
Other TANF-Funded Services	1	0	1
Other Source	3	0	3

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	224	3	210
Medicare	34	0	32
State Children's Health Insurance Program	7	0	7
VA Medical Services	3	0	3
Employer Provided Health Insurance	1	0	1
Health Insurance Through COBRA	0	0	0
Private Pay Health Insurance	0	0	0
State Health Insurance for Adults	23	0	26
Indian Health Services Program	1	0	1
Other	1	0	1
No Health Insurance	51	0	56
Client Doesn't Know/Client Refused	1	0	0
Data Not Collected	33	0	29
Number of Stayers Not Yet Required to Have an Annual Assessment	0	9	0
1 Source of Health Insurance	232	3	218
More than 1 Source of Health Insurance	29	0	30

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	59	59	0
8 to 14 days	43	39	4
15 to 21 days	25	25	0
22 to 30 days	31	31	0
31 to 60 days	66	64	2
61 to 90 days	3	3	0
91 to 180 days	21	21	0
181 to 365 days	87	84	3
366 to 730 days (1-2 Yrs)	9	6	3
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	344	332	12

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	6	3	3	0	0
8 to 14 days	5	2	3	0	0
15 to 21 days	2	2	0	0	0
22 to 30 days	8	4	4	0	0
31 to 60 days	5	1	4	0	0
61 to 180 days	1	1	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	27	13	14	0	0
Average length of time to housing	22.00	22.00	23.00	--	--
Persons who were exited without move-in	12	3	9	0	0
Total persons	39	16	23	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	59	59	0	0	0
8 to 14 days	43	39	4	0	0
15 to 21 days	25	25	0	0	0
22 to 30 days	31	27	4	0	0
31 to 60 days	66	56	10	0	0
61 to 90 days	3	3	0	0	0
91 to 180 days	21	8	13	0	0
181 to 365 days	87	19	68	0	0
366 to 730 days (1-2 Yrs)	9	0	9	0	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	344	236	108	0	0

Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	14	14	0	0	0
8 to 14 days	4	4	0	0	0
15 to 21 days	8	8	0	0	0
22 to 30 days	13	9	4	0	0
31 to 60 days	36	17	19	0	0
61 to 180 days	71	37	34	0	0
181 to 365 days	53	37	16	0	0
366 to 730 days (1-2 Yrs)	44	33	11	0	0
731 days or more	30	30	0	0	0
Total (persons moved into housing)	273	189	84	0	0
Not yet moved into housing	12	3	9	0	0
Data not collected	59	44	15	0	0
Total persons	344	236	108	0	0

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Permanent Destinations</b>	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	2	0	2	0	0
Owned by client, with ongoing housing subsidy	5	2	3	0	0
Rental by client, no ongoing housing subsidy	9	6	3	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	17	8	9	0	0
Permanent housing (other than RRH) for formerly homeless persons	3	0	3	0	0
Staying or living with family, permanent tenure	1	0	1	0	0
Staying or living with friends, permanent tenure	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	85	15	70	0	0
Rental by client, with HCV voucher (tenant or project based)	4	4	0	0	0
Rental by client in a public housing unit	3	0	3	0	0
<b>Subtotal</b>	129	35	94	0	0
<b>Temporary Destinations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	47	47	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	1	1	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	2	2	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	18	18	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	6	6	0	0	0
Safe Haven	1	1	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Host Home (non-crisis)	0	0	0	0	0
<b>Subtotal</b>	75	75	0	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	1	1	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	4	4	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
<b>Subtotal</b>	5	5	0	0	0
<b>Other Destinations</b>	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	5	5	0	0	0
Client Doesn't Know/Client Refused	54	54	0	0	0
Data Not Collected (no exit interview completed)	64	62	2	0	0
<b>Subtotal</b>	123	121	2	0	0
Total	332	236	96	0	0
Total persons exiting to positive housing destinations	129	35	94	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	38.86 %	14.83 %	97.92 %	--	--

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	1	1	0	0
Non-Chronically Homeless Veteran	12	12	0	0
Not a Veteran	240	206	34	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	17	17	0	0
Total	270	236	34	0

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	47	44	3	0	0
Not Chronically Homeless	248	143	105	0	0
Client Doesn't Know/Client Refused	6	6	0	0	0
Data Not Collected	43	43	0	0	0
Total	344	236	108	0	0



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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	2,603,235.03
02 ENTITLEMENT GRANT	2,171,526.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	2,725,000.00
05 CURRENT YEAR PROGRAM INCOME	5,513.86
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	375,517.06
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	7,880,791.95

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	4,013,345.13
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	4,013,345.13
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	202,342.81
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	81,397.50
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	4,297,085.44
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	3,583,706.51

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	3,587,868.72
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	3,587,868.72
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	89.40%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2020 PY: 2021 PY: 2022
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	4,013,345.13
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	3,587,868.72
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	89.40%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	0.00
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	0.00
32 ENTITLEMENT GRANT	2,171,526.00
33 PRIOR YEAR PROGRAM INCOME	62,613.27
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	2,234,139.27
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	0.00%



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PART V: PLANNING AND ADMINISTRATION (PA) CAP		
37	DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	202,342.81
38	PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	319,219.26
39	PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	159,554.21
40	ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41	TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	362,007.86
42	ENTITLEMENT GRANT	2,171,526.00
43	CURRENT YEAR PROGRAM INCOME	381,030.92
44	ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45	TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,552,556.92
46	PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	14.18%

LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2019	9	3244	6426219	612 N. Avon Street	14A	LMH	\$18.89
2019	9	3244	6426842	612 N. Avon Street	14A	LMH	\$14,732.11
2019	9	3250	6399815	814 Linden Ave	14A	LMH	\$1,100.00
2019	9	3251	6384923	1011 Ridge Ave	14A	LMH	\$13,375.00
2019	9	3251	6413315	1011 Ridge Ave	14A	LMH	\$734.15
2019	9	3251	6413317	1011 Ridge Ave	14A	LMH	\$16.35
2019	9	3273	6384923	2117 S. 4th Street	14A	LMH	\$803.23
2019	9	3273	6399815	2117 S. 4th Street	14A	LMH	\$90.04
2019	9	3273	6426219	2117 S. 4th Street	14A	LMH	\$11,614.23
2019	9	3274	6372696	414 S. Johnston Ave	14A	LMH	\$21,250.00
2019	9	3274	6384923	414 S. Johnston Ave	14A	LMH	\$8,205.00
2019	9	3296	6384923	448 N Avon St	14A	LMH	\$75.00
2019	9	3296	6413225	448 N Avon St	14A	LMH	\$35.00
2019	9	3296	6426219	448 N Avon St	14A	LMH	\$19,400.00
2019	9	3297	6426219	503 N Avon St	14A	LMH	\$15,000.00
2019	9	3298	6426219	971 Grant Avenue	14A	LMH	\$3,350.00
2019	9	3303	6413225	1502 Clifton Avenue	14A	LMH	\$1,490.00
2019	9	3303	6426219	1502 Clifton Avenue	14A	LMH	\$5,435.00
2019	9	3303	6448634	1502 Clifton Avenue	14A	LMH	\$7,975.00
2019	9	3304	6426219	3423 Schalck Drive	14A	LMH	\$12,410.00
2019	9	3304	6448634	3423 Schalck Drive	14A	LMH	\$75.00
2019	9	3305	6426219	408 Hawthorne Avenue	14A	LMH	\$12,940.00
2019	9	3309	6413225	2314 Hecker Ave	14A	LMH	\$1,997.00
2019	9	3309	6426219	2314 Hecker Ave	14A	LMH	\$26,483.00
2019	9	3309	6426842	2314 Hecker Ave	14A	LMH	\$35.00
2019	9	3309	6437875	2314 Hecker Ave	14A	LMH	\$75.00
2019	9	3311	6426219	620 Regan Street	14A	LMH	\$15,050.00
2019	9	3311	6437875	620 Regan Street	14A	LMH	\$75.00
2019	9	3312	6426219	2806 Knight Avenue	14A	LMH	\$14,540.00
2019	9	3312	6448634	2806 Knight Avenue	14A	LMH	\$75.00
2019	9	3313	6426219	2320 Custer Avenue	14A	LMH	\$14,235.00
2019	9	3315	6426219	2827 Lawndale Avenue	14A	LMH	\$20,610.00
2019	9	3315	6426842	2827 Lawndale Avenue	14A	LMH	\$35.00
2019	9	3316	6426219	3511 Rural Street	14A	LMH	\$19,875.00
2019	9	3325	6426219	3632 Grant Avenue	14A	LMH	\$75.00





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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2019	9	3325	6426842	3632 Grant Avenue	14A	LMH	\$17,635.00
2019	9	3325	6437875	3632 Grant Avenue	14A	LMH	\$35.00
2019	9	3330	6426842	503 Rome Avenue	14A	LMH	\$14,460.00
2019	9	3330	6448634	503 Rome Avenue	14A	LMH	\$70.00
2019	9	3331	6426842	124 Hollister Avenue	14A	LMH	\$35.00
2019	9	3331	6437875	124 Hollister Avenue	14A	LMH	\$15,910.00
2019	9	3331	6448634	124 Hollister Avenue	14A	LMH	\$35.00
2019	9	3332	6426219	1508 Cunningham Street	14A	LMH	\$17,850.00
2019	9	3332	6426842	1508 Cunningham Street	14A	LMH	\$35.00
2019	9	3332	6437875	1508 Cunningham Street	14A	LMH	\$35.00
2019	9	3332	6448634	1508 Cunningham Street	14A	LMH	\$75.00
2019	9	3350	6426842	976 Grant Avenue	14A	LMH	\$35.00
2019	9	3350	6437875	976 Grant Avenue	14A	LMH	\$11,235.00
2019	9	3350	6448634	976 Grant Avenue	14A	LMH	\$3,720.00
2019	9	3351	6437875	715 Hawthorne Avenue	14A	LMH	\$10,380.00
2019	9	3351	6448634	715 Hawthorne Avenue	14A	LMH	\$35.00
2019	9	3352	6426842	114 Alder Avenue	14A	LMH	\$35.00
2019	9	3352	6437884	114 Alder Avenue	14A	LMH	\$17,530.00
2019	9	3352	6448634	114 Alder Avenue	14A	LMH	\$75.00
2019	9	3353	6426227	408 N Central Ave	14A	LMH	\$2,090.00
2019	9	3353	6426842	408 N Central Ave	14A	LMH	\$23,645.00
2019	9	3353	6437884	408 N Central Ave	14A	LMH	\$75.00
2019	9	3353	6448634	408 N Central Ave	14A	LMH	\$35.00
2019	9	3376	6437884	1314 Post Ave	14A	LMH	\$35.00
2019	9	3376	6448634	1314 Post Ave	14A	LMH	\$22,735.00
2019	9	3380	6448634	2101 Shelley Dr	14A	LMH	\$14,125.00
2019	9	3390	6448634	605 Cottage Grove Avenue	14A	LMH	\$13,210.00
2019	9	3390	6457276	605 Cottage Grove Avenue	14A	LMH	\$35.00
2019	9	3396	6448634	521 Abilene Trail	14A	LMH	\$11,700.00
					14A	Matrix Code	\$460,189.00
2019	2	3135	6373176	Rehabilitation Services	14H	LMH	\$7,047.38
2019	2	3135	6384923	Rehabilitation Services	14H	LMH	\$4,614.77
2019	2	3135	6399815	Rehabilitation Services	14H	LMH	\$5,923.64
2020	4	3299	6413225	Housing Services	14H	LMH	\$28,619.30
2020	4	3299	6426219	Housing Services	14H	LMH	\$20,949.23
2020	4	3299	6426842	Housing Services	14H	LMH	\$19,636.92
2020	4	3299	6437875	Housing Services	14H	LMH	\$18,762.94
2020	4	3299	6448634	Housing Services	14H	LMH	\$25,286.10
2020	5	3300	6413225	Rehabilitation Services	14H	LMH	\$6,090.58
2020	5	3300	6426219	Rehabilitation Services	14H	LMH	\$9,660.51
2020	5	3300	6426842	Rehabilitation Services	14H	LMH	\$3,246.48
2020	5	3300	6437875	Rehabilitation Services	14H	LMH	\$2,235.84
2020	5	3300	6448634	Rehabilitation Services	14H	LMH	\$1,650.00
					14H	Matrix Code	\$153,723.69



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2019	2	3191	6372696	Housing Services	14J	LMH	\$29.83
2019	2	3191	6373176	Housing Services	14J	LMH	\$60,851.03
2019	2	3191	6384923	Housing Services	14J	LMH	\$37,238.14
2019	2	3191	6399815	Housing Services	14J	LMH	\$17,024.35
					14J	Matrix Code	\$115,143.35
2015	24	2981	6381268	Cliffbreakers Hotel	18A	LMJP	\$2,725,000.00
2018	13	3249	6384923	El Tiempo	18A	LMJ	\$16,720.00
2018	13	3270	6413225	Estwing	18A	LMJP	\$50,000.00
2019	12	3271	6384923	speedy	18A	LMJP	\$25,000.00
2019	12	3272	6384923	Rockford Transport	18A	LMJP	\$17,092.68
2019	12	3285	6384923	Leverage Staffing Group	18A	LMJP	\$25,000.00
					18A	Matrix Code	\$2,858,812.68
Total							\$3,587,868.72

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Report returned no data.

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
1994	2	2	6400499	CDBG COMMITTED FUNDS ADJUSTMENT	21A		\$70.00
2019	1	3195	6372694	CDBG Administration	21A		\$1,215.69
2019	1	3195	6372696	CDBG Administration	21A		\$8,081.52
2019	1	3195	6373176	CDBG Administration	21A		\$63,342.52
2019	1	3195	6384923	CDBG Administration	21A		\$71,265.89
2019	1	3195	6399815	CDBG Administration	21A		\$1,059.60
2020	3	3301	6413225	CDBG Administration	21A		\$6,706.69
2020	3	3301	6413317	CDBG Administration	21A		\$734.15
2020	3	3301	6426219	CDBG Administration	21A		\$9,097.78
2020	3	3301	6426842	CDBG Administration	21A		\$14,677.06
2020	3	3301	6437875	CDBG Administration	21A		\$10,680.34
2020	3	3301	6448634	CDBG Administration	21A		\$15,411.57
					21A	Matrix Code	\$202,342.81
Total							\$202,342.81



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**PART I: SUMMARY OF CDBG-CV RESOURCES**

01 CDBG-CV GRANT	2,279,029.00
02 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
03 FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
04 TOTAL AVAILABLE (SUM, LINES 01-03)	2,279,029.00

**PART II: SUMMARY OF CDBG-CV EXPENDITURES**

05 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,101,700.00
06 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	95,932.00
07 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
08 TOTAL EXPENDITURES (SUM, LINES 05 - 07)	1,197,632.00
09 UNEXPENDED BALANCE (LINE 04 - LINE8 )	1,081,397.00

**PART III: LOWMOD BENEFIT FOR THE CDBG-CV GRANT**

10 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
11 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
12 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,101,700.00
13 TOTAL LOW/MOD CREDIT (SUM, LINES 10 - 12)	1,101,700.00
14 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 05)	1,101,700.00
15 PERCENT LOW/MOD CREDIT (LINE 13/LINE 14)	100.00%

**PART IV: PUBLIC SERVICE (PS) CALCULATIONS**

16 DISBURSED IN IDIS FOR PUBLIC SERVICES	0.00
17 CDBG-CV GRANT	2,279,029.00
18 PERCENT OF FUNDS DISBURSED FOR PS ACTIVITIES (LINE 16/LINE 17)	0.00%

**PART V: PLANNING AND ADMINISTRATION (PA) CAP**

19 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	95,932.00
20 CDBG-CV GRANT	2,279,029.00
21 PERCENT OF FUNDS DISBURSED FOR PA ACTIVITIES (LINE 19/LINE 20)	4.21%



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LINE 10 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 10

Report returned no data.

LINE 11 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 11

Report returned no data.

LINE 12 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 12

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	1	3306	6405316	Chuck's Barbershop - CV	18C	LMCMC	\$15,000.00
			6437294	Chuck's Barbershop - CV	18C	LMCMC	\$10,000.00
		3307	6405316	T&C Cooking Creations - CV	18C	LMCMC	\$15,000.00
			6437294	T&C Cooking Creations - CV	18C	LMCMC	\$10,000.00
		3308	6405316	Minglewood - CV	18C	LMCMC	\$15,000.00
		3314	6405316	Pregon Media Group - CV	18C	LMC	\$15,000.00
			6437294	Pregon Media Group - CV	18C	LMC	\$10,000.00
		3317	6405316	Brandy's Candies - CV	18C	LMCMC	\$15,000.00
			6437294	Brandy's Candies - CV	18C	LMCMC	\$10,000.00
		3318	6405316	Classic Cuts Barbershop - CV	18C	LMCMC	\$15,000.00
			6437294	Classic Cuts Barbershop - CV	18C	LMCMC	\$10,000.00
		3319	6405316	Bul's African Grocery Store - CV	18C	LMCMC	\$15,000.00
			6437294	Bul's African Grocery Store - CV	18C	LMCMC	\$10,000.00
		3320	6405316	Saviour Self, LLC - CV	18C	LMCMC	\$15,000.00
			6437294	Saviour Self, LLC - CV	18C	LMCMC	\$10,000.00
		3321	6405316	A Plus Window Cleaning Service - CV	18C	LMCMC	\$15,000.00
			6437294	A Plus Window Cleaning Service - CV	18C	LMCMC	\$10,000.00
		3322	6405316	Pierce Consulting - CV	18A	LMJ	\$15,000.00
			6437294	Pierce Consulting - CV	18A	LMJ	\$10,000.00
		3323	6405316	J & F Tobacco Company - CV	18A	LMJ	\$15,000.00
			6437294	J & F Tobacco Company - CV	18A	LMJ	\$10,000.00
		3324	6425657	Ladiworkz - CV	18C	LMCMC	\$15,000.00
			6437294	Ladiworkz - CV	18C	LMCMC	\$10,000.00
		3326	6425657	JS Event Design Studio	18A	LMJP	\$15,000.00
			6437294	JS Event Design Studio	18A	LMJP	\$10,000.00
		3327	6425657	815 Blades - CV	18C	LMCMC	\$10,000.00
			6437294	815 Blades - CV	18C	LMCMC	\$6,700.00
		3328	6425657	Sabores Mobile Kitchen, LLC - CV	18C	LMCMC	\$15,000.00
			6437294	Sabores Mobile Kitchen, LLC - CV	18C	LMCMC	\$10,000.00
		3329	6425657	Chole & Co. Salon and Spa - CV	18C	LMCMC	\$15,000.00
			6437294	Chole & Co. Salon and Spa - CV	18C	LMCMC	\$10,000.00
		3334	6425657	Dynamic Bodywork Fusion - CV	18C	LMCMC	\$15,000.00
		3346	6425657	Spotlight Fitness, Ltd - CV	18C	LMCMC	\$15,000.00
			6437294	Spotlight Fitness, Ltd - CV	18C	LMCMC	\$10,000.00
		3347	6425657	317 Art Collective - CV	18C	LMCMC	\$15,000.00
			6437294	317 Art Collective - CV	18C	LMCMC	\$10,000.00
		3348	6425657	Synergy Production Group - CV	18C	LMCMC	\$15,000.00
			6437294	Synergy Production Group - CV	18C	LMCMC	\$10,000.00
		3349	6425657	Rejuvenation Massage and Cryotherapy - CV	18C	LMCMC	\$15,000.00
			6437294	Rejuvenation Massage and Cryotherapy - CV	18C	LMCMC	\$10,000.00
		3354	6425657	Pure Flo Bottling - CV	18C	LMCMC	\$15,000.00
			6437294	Pure Flo Bottling - CV	18C	LMCMC	\$10,000.00
		3355	6425657	Fiesta Tequila - CV	18C	LMCMC	\$15,000.00
			6437294	Fiesta Tequila - CV	18C	LMCMC	\$10,000.00
		3356	6425657	Joe Buckets, LLC - CV	18C	LMCMC	\$15,000.00
			6437294	Joe Buckets, LLC - CV	18C	LMCMC	\$10,000.00
		3367	6425657	Affordable Solutions - CV	18C	LMCMC	\$15,000.00
			6437294	Affordable Solutions - CV	18C	LMCMC	\$10,000.00
		3369	6425657	15th and Chris - CV	18C	LMCMC	\$15,000.00
			6437294	15th and Chris - CV	18C	LMCMC	\$10,000.00
		3371	6437294	Cutom Copy & Printing - CV	18C	LMCMC	\$25,000.00

