



**CORPORATE FORM  
APPLICATION FOR CITY RETAILER’S LICENSE TO SELL ALCOHOLIC LIQUORS**

Please check the appropriate license class(es) for which you are making application – See Section 3-58 of the Liquor Code:

Class A \_\_\_\_\_ Class PKG \_\_\_\_\_ Class C \_\_\_\_\_ Class GV \_\_\_\_\_ Class WB50 \_\_\_\_\_

Class L50 \_\_\_\_\_ Class H50 \_\_\_\_\_ Class E \_\_\_\_\_ Class TRK \_\_\_\_\_ Class NG-WB \_\_\_\_\_

Class NG-L \_\_\_\_\_ Class SLWB \_\_\_\_\_ Class SL \_\_\_\_\_ Class WB \_\_\_\_\_

Subscripts: VGT (video gaming terminals)\* \_\_\_\_\_ R (Sunday sales) \_\_\_\_\_ B/C (Bingo/Casino) \_\_\_\_\_

CTR (catering) \_\_\_\_\_

\*For class A, C, E, GV, H50, L50, WB50, and TRK only

The undersigned hereby makes application for the issuance of a city retailer's license for the sale of alcoholic liquor for the term ending April 30, 20\_\_\_\_, and hereby certifies to the following facts:

**1. APPLICANT CORPORATE INFORMATION**

a. Applicant’s corporate name: \_\_\_\_\_

b. Applicant’s corporate address: \_\_\_\_\_

c. Applicant’s email address: \_\_\_\_\_

**\*ALL OFFICERS, DIRECTORS, AND SHAREHOLDERS OWNING IN AGGREGATE MORE THAN 5% OF THE STOCK OF THIS CORPORATION MUST COMPLETE AND SUBMIT AN INDIVIDUAL CORPORATE APPLICATION & COMPLETE A BACKGROUND CHECK PRIOR TO THE ISSUANCE OF A LIQUOR LICENSE**

d. Attorney contact information (if applicable): \_\_\_\_\_

e. State names of officers as indicated, with their respective residence addresses, giving street and number, city and state:

President \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Vice President \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Secretary \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Treasurer \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Director \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Director \_\_\_\_\_ (Residence Address) \_\_\_\_\_

f. State names of stockholders, with their respective residence addresses, giving street and number, City and State. Include the ownership percentage for listed stockholders. (If the total number of stockholders is twenty (20) or less, provide the names and addresses of ALL stockholders. If the number of stockholders is twenty-one (21) or more, list only those stockholders owning more than five percent interest in the corporation. Attach additional paper to application if space provided below is insufficient)

Stockholder \_\_\_\_\_  
Ownership percentage: \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Stockholder \_\_\_\_\_  
Ownership percentage: \_\_\_\_\_ (Residence Address) \_\_\_\_\_

Stockholder \_\_\_\_\_  
Ownership percentage: \_\_\_\_\_ (Residence Address) \_\_\_\_\_

g. Date of incorporation \_\_\_\_\_ Under Laws of State of \_\_\_\_\_  
If the state is a state other than Illinois, the date upon which the corporation was certified as a foreign corporation entitled to conduct business in Illinois \_\_\_\_\_

h. Object of corporation, as set forth in charter

\_\_\_\_\_  
(If Insufficient space, attach separate sheet)

i. Is the corporation a widely held corporation or a closely held corporation? \_\_\_\_\_

**2. BUSINESS INFORMATION**

(a) Name under which business is to be conducted \_\_\_\_\_

(b) Location of place of business for which license is sought: \_\_\_\_\_ Telephone No. \_\_\_\_\_  
(Exact address by street and number)

(c) The character of the business \_\_\_\_\_  
(i.e. tavern, restaurant, grocery store, gas station, night club, etc.)

(d) Is the applicant seeking video gaming terminals (VGTs) for the business to be licensed? If yes, please indicate the number requested (Maximum 5) (Note: if applicant is not seeking gaming, a No Gaming (NG) classification liquor license will be issued. In addition, the request for video gaming terminals is a condition of a liquor license which can be approved or denied similar to other requests, such as hours of operation, outdoor seating, Sunday sales, etc. In the event that the request for video gaming terminals is denied, an NG classification liquor license will be issued. \_\_\_\_\_  
\_\_\_\_\_

(e) If the applicant seeks a license to sell alcoholic liquor upon the premises as a restaurant is premises:

(i) Maintained and held out to the public as a place where meals are actually and regularly served? \_\_\_\_\_

(ii) Food service hours: \_\_\_\_\_

(ii) Provided with adequate and sanitary kitchen and dining room equipment and capacity with sufficient employees to prepare, cook and serve suitable food? \_\_\_\_\_

(f) Does the applicant beneficially own or have a contract to purchase the premises for which this license is sought? \_\_\_\_\_

If not, has applicant a lease on such premises covering the full period for which license is sought? If so, please provide:

(i) Name and address of lessor \_\_\_\_\_

(ii) Period covered by lease: From \_\_\_\_\_, 20\_\_\_\_ to \_\_\_\_\_, 20 \_\_\_\_

(g) Is applicant licensed as a food dispenser? \_\_\_\_\_ If so, give number of license \_\_\_\_\_

(h) The length of time the applicant has been in the business of the character described above \_\_\_\_\_

(i) Is the premises for which a liquor license is sought comprised of a store or other place of business where the majority of customers are under the age of twenty-one (21) years or where the principal business transacted consists of the sale of school books, school supplies, food, lunches, or drinks for such customers?  
\_\_\_\_\_

(j) Will applicant be personally, actively involved in the day-to-day operation of the business to be licensed? \_\_\_\_\_

(k) Is the business or will the business for which a liquor license is sought be managed by a manager or agent? \_\_\_\_\_  
**\*If the answer is yes, all managers/agents must complete a background check and manager/agent application prior to the issuance of a liquor license.**

(l) Is the applicant seeking a license for Sunday liquor sales? \_\_\_\_\_

(m) Is the applicant seeking a full liquor license or a beer/wine license? \_\_\_\_\_

(n) Is the applicant seeking approval for any of the following uses:  
Beer garden \_\_\_\_\_ Outdoor seating area \_\_\_\_\_ Enclosed outdoor smoking area \_\_\_\_\_

(o) Is the applicant seeking a license for packaged liquor sales? \_\_\_\_\_

3. Is the location of applicant's business for which license is sought within 100 feet of the nearest property line of any of the following properties:  
homeless/indigent shelters \_\_\_\_\_ churches \_\_\_\_\_ mental health clinics \_\_\_\_\_  
senior citizen housing \_\_\_\_\_ hospitals \_\_\_\_\_ child care center \_\_\_\_\_  
transitional service facilities \_\_\_\_\_ hospices \_\_\_\_\_ homeless/indigent shelters \_\_\_\_\_

nursing or personal care facilities \_\_\_\_\_ schools \_\_\_\_\_

homes for veterans, their spouses or children \_\_\_\_\_ any military or naval stations \_\_\_\_\_

any church building used for worship or educational purposes \_\_\_\_\_

community based housing as defined by the City of Rockford Zoning Ordinance \_\_\_\_\_

\*Do not answer question three if the premises for which the license is sought exceeds forty thousand square feet of total floor area and whose principal business carried on therein is not the sale of alcoholic liquor. If applicant is answering this question, please refer to the Liquor License Application Information document included in the Liquor License Application packet.

4. Is any law enforcing official, mayor, alderman or member of the city council, or city employee directly or indirectly interested in the business for which license is sought? \_\_\_\_\_

5. Has any manufacturer, importing distributor or distributor directly or indirectly paid or agreed to pay for this license, advanced money or anything else of value, or any credit (other than merchandising credit in the ordinary course of business for a period not to exceed 90 days), or is such person directly or indirectly interested in the ownership, conduct or operation of the place of business? (Interior decorations and signs complying with state law exempted.) \_\_\_\_\_

6. Is the applicant engaged in the manufacture of alcoholic liquors? \_\_\_\_\_  
If so, at what location or locations? \_\_\_\_\_

7. Is the applicant conducting business of an importing distributor or distributor of alcoholic liquors? \_\_\_\_\_  
If so, at what location or locations? \_\_\_\_\_

8. Do you hold any other current business licenses issued by the City of Rockford? Yes ( ) No ( )

If so, what type of license do you currently hold and what is the address of the licensed premises?

\_\_\_\_\_ (type)

\_\_\_\_\_ (address)

9. Has the corporation (applicant) or any officer, manager, or director of said corporation, or any stockholder(s) owning in the aggregate more than five per cent (5%) of the stock of such corporation, made application for a similar license for this period for any premises other than those described above? \_\_\_\_\_

10. Does applicant hold or ever held a liquor license issued by the state of Illinois to any other political subdivision of Illinois or any other state? \_\_\_\_\_

(i) If yes, please list from which political subdivisions applicant has been issued a liquor license

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(ii) Has any previous license to applicant or any partnership to which applicant was a party by any state or subdivision thereof, or by the federal government been revoked, suspended, or a fine issued pursuant to violations of any regulations? \_\_\_\_\_ If yes, please list the dates of said revocation, suspension or fines and the reasons therefor.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11. Does the applicant agree to not violate any of the laws of the State of Illinois or of the United States, or any ordinance of the City of Rockford in the conduct of applicant's place of business? \_\_\_\_\_

12. Illinois Retailers Occupation Tax number currently assigned to the business or individual applicant: \_\_\_\_\_

13. Is the business for which a liquor license is sought or the individual applicant currently delinquent in payments to the Illinois Department of Revenue, City of Rockford or any other governmental entity? \_\_\_\_\_

14. What is the existing inventory level for the proposed business? \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

15. Other than merchandising credit in the ordinary course of business for a period not to exceed ninety/90 days, as expressly permitted by the Liquor Control Act, will OR has the applicant received or borrowed any money or anything else of value, directly or indirectly, from any manufacturer, distributor(s) or importing distributor(s)? \_\_\_\_\_

16. Does the applicant state that she or he is not a party and will not be a party, in any way, directly or indirectly, to any violation by a manufacturer, distributor or importing distributors of the Act? \_\_\_\_\_

17. Will the applicant hire private security licensed by the State of Illinois upon the written request of the liquor commissioner? \_\_\_\_\_

18. If applicant is a club, has it met the qualifications described in the Illinois Act relating to alcoholic liquors?  
\_\_\_\_\_
19. Has any officer, manager, or director of said corporation, or any stockholder or stockholders owning in the aggregate more than five per cent (5%) of the stock of such corporation, ever been convicted of any felony under any Federal or State law? If so, give name of person so convicted, stating date and offense \_\_\_\_\_  
\_\_\_\_\_
20. Has any officer, manager, or director of said corporation, or any stockholder or stockholders owning in the aggregate more than five per cent (5%) of the stock of such corporation, ever been convicted of a violation of any Federal or State liquor law since February 1, 1934? If so, give name of person so convicted, and give date \_\_\_\_\_  
\_\_\_\_\_
21. Has any officer, manager, or director of said corporation, or any stockholder or stockholders owning in the aggregate more than five per cent (5%) of the stock of such corporation, ever been convicted of gambling, keeping a gambling place, of being the keeper of a house of ill fame; or of pandering or other crime or misdemeanor opposed to decency and morality? \_\_\_\_\_  
If so, give name of person so convicted, giving dates and stating offense \_\_\_\_\_
22. Does the applicant agree to conduct and submit BASSET training certification for managers and servers as required pursuant to City of Rockford Ordinance Section 3-68? \_\_\_\_\_
23. Does the licensed premises, applicant or any officer, manager, or director of said corporation or any stockholder(s) owning in the aggregate more than twenty per cent (20%) of the stock such corporation currently hold a federal wagering stamp?  
\_\_\_\_\_
24. Has the applicant reviewed Chapter Three of the City of Rockford Code of Ordinances governing alcoholic liquor? \_\_\_\_\_
25. Anticipated alcoholic, food/non-alcoholic beverages, and general merchandise sales as a percentage of total revenue for the business (total revenue includes gaming revenue)?
- |   |        |
|---|--------|
| Alcohol sales percentage of total revenue   | _____% |
| Food/non-alcoholic beverage sales percentage of total revenue                       | _____% |
| General merchandise sales percentage of total revenue                               | _____% |
| Percentage of revenue from other sources or total revenue (includes gaming revenue) | _____% |

**STATE OF ILLINOIS** )SS.  
**COUNTY OF WINNEBAGO** )

I (or we) swear (or affirm) that I (or we) will not violate any of the ordinances of the City of Rockford or the laws of the State of Illinois or the laws of the United States of America, in the conduct of the place of business described herein and that the statements contained in this application are true and correct to the best of my (our) knowledge and belief.

Subscribed and Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, A.D. 20\_\_\_\_\_

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Signature of Applicant